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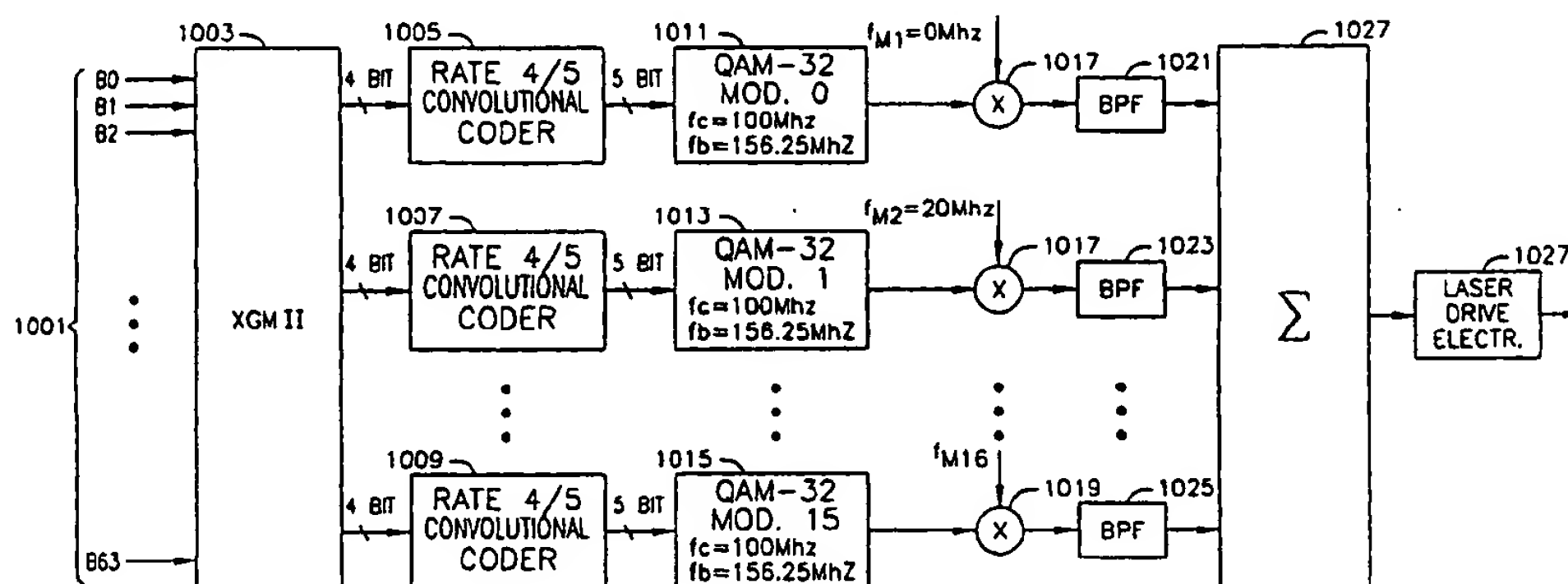
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(54) Title: METHOD, APPARATUS AND SYSTEM FOR HIGH-SPEED TRANSMISSION ON FIBER OPTIC CHANNEL



(57) Abstract: Multi-carrier modulation fiber optic systems constructed using a series of electrical carriers, modulating the data on the electrical carriers and combining the carriers to form a wideband signal. The wideband signal can then be intensity modulated on a laser and coupled to a fiber optic channel. A receiver may then receive the laser signal from the fiber optic channel and convert it into an electrical signal. Multi-carrier modulation may be applied to existing fiber channels, which may be of lower quality. Existing fiber channels may have characteristics which prevent or restrict the transmission of data using intensity modulation at certain frequencies. An adaptive multi-carrier modulation transmitter may characterize an existing fiber optic channel and ascertain the overall characteristics of the channel. The transmitter and receiver can then be configured to use various bandwidths and various modulations in order to match the transfer characteristic of the fiber channel. A series of adaptive multi-carrier modulation transmitters and receivers can be integrated on a single integrated circuit. If multiple adaptive receivers and transmitters are integrated on a single integrated circuit, they may be used to upgrade existing networks by adding different wavelength lasers for the transmission of data in order to achieve any capacity desired. Each receiver and transmitter may characterize the fiber for its particular wavelength laser and may configure the modulation and bandpass to the fiber's characteristics.



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*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

1                                   **METHOD, APPARATUS AND SYSTEM FOR**  
                                  **HIGH-SPEED TRANSMISSION ON FIBER OPTIC CHANNEL**

FIELD OF THE INVENTION

5           The present invention relates to methods and apparatus for the transfer of high rates of data over a fiber optic channels, and in particular embodiments to methods and apparatus which utilize existing fiber optics systems to achieve high data transfer rates.

BACKGROUND OF THE INVENTION

10           The amount of data carried on fiber optic systems continues to increase. The IEEE (Institute of Electrical and Electronics Engineers) 802.3ae task force is currently working on the definition of a 10 gigabit per second standard for Ethernet applications. Although optical transmission at 10 gigabits per second is possible with current technology, the price of obtaining a 10 gigabit per second data rate may currently be very high because of the necessity of using  
15   costly optical components.

          Inexpensive optical components, such as fibers and lasers, may result in optical channels with limited bandwidth, nulls, significant noise, distortion and multi-mode transmission characteristics. These characteristics may be problematical when attempting to achieve high data rates. Additionally, some of the fiber systems already in place comprise fibers and components  
20   of lower quality than are currently available in modern fiber optic systems. The characteristics of these systems may also be problematical when attempting to increase the transmission rates over such systems. There is therefore a need in the art to improve transmission capability of lower quality fiber optic systems through the use of inexpensive electronics. There is also a need for the use of channel coding and bandwidth efficient modulation techniques to overcome the  
25   limitations of low quality optical channels and result in higher transmission rates and reduced system costs.

SUMMARY OF THE INVENTION

          Apparatuses for transmitting and receiving data on a fiber channel are disclosed. An  
30   example transmitting apparatus comprises an input that receives a digital signal to be transmitted. The apparatus also comprises a plurality of programmable modulators each configured to accept a portion of the digital signal to be transmitted, and to modulate the portion of the digital signal accepted. The modulators communicate with a plurality of mixers, each mixer coupled to the output of one of the programmable modulators to accept a modulated signal  
35   and mix it with a mixer frequency. The mixed frequencies are then coupled into a plurality of lowpass filters that filter the output of the mixers. A summation unit then combines the output of the mixers into a single signal.

          An example receiving apparatus comprises an input that receives a transmitted optical

1 signal and converts it into an electrical signal. The receiving apparatus also comprises a plurality  
of programmable mixers which accept the received electrical signal. The mixers are coupled to  
programmable frequency sources. The mixed frequencies are then coupled into a plurality of  
bandpass filters that filter the output of the mixers. The filtered signals are then provided to  
5 programmable demodulators, which will demodulate the signal according to the modulation used  
by the transmit apparatus. The demodulated signals are then provided to a XGMII (Ten Gigabit  
Media Independent Interface), which combines the demodulated signals into a parallel bit stream.

## 10 BRIEF DESCRIPTION OF THE DRAWINGS

The following descriptions refer to drawings in which consistent numbers refer to like  
elements throughout.

Figure 1A is a graphical illustration showing a light pulse transmitted through a  
multimode fiber optic channel and resulting received pulses.

15 Figure 1B is a graph of an exemplary frequency response of the optical channel exhibiting  
nulls.

Figure 1C is a graph illustrating the impulse response of a multimode fiber channel in an  
"overfilled launch conditions."

Figure 1D is a block diagram of an exemplary fiber optic data transmission system.

20 Figure 2A is a block diagram of a multi-carrier modulation (MCM) transmitter.

Figure 2B is a block diagram of a digital implementation of a QAM-16 modulator, such  
as illustrated in figure 2A.

Figure 2C is a block diagram of an analog implementation of a QAM-16 modulator, such  
as illustrated in figure 2A.

25 Figure 3 is a block diagram illustrating a multi-carrier modulation receiver.

Figure 4 is a block diagram of an alternate implementation of a multi-carrier modulation  
transmitter.

Figure 5 is a block diagram of an alternate implementation of multi-carrier modulation  
receiver as may be used the alternative implementation of the MCM transmitter illustrated in  
30 Figure 4.

Figure 6 is a graph of intensity vs. frequency representing the transfer function of an  
exemplary fiber optic channel.

Figure 7A is a block diagram of a circuit implementation as may be used with fiber  
channels exhibiting nulls and/or noisy bands.

35 Figure 7B is a flow diagram illustrating the process by which an existing fiber channel  
may be characterized.

Figure 8 is a block diagram of a receiver as may be used with the transmitter illustrated  
in Figure 7A.

1        Figure 9 is a block diagram illustrating the use of a set of multi-carrier modulation transmitters and multi-carrier modulation receivers in parallel in order to increase the data-carrying capacity of a channel.

5        Figure 10 is a block diagram illustrating a modification of the transmitter of Figure 2A to incorporate trellis coding.

      Figure 11 is a block diagram illustrating a modification of the alternate transmitter of Figure 4 to incorporate trellis coding.

      Figure 12 is a block diagram illustrating a modification of the receiver of Figure 3 to receive a trellis coded signal.

10       Figure 13 is a block diagram illustrating a modification of the receiver of Figure 4 to receive a trellis coded signal.

#### DETAILED DESCRIPTION OF THE INVENTION

15       Optical communications systems may achieve very high data rates as a result of the high bandwidth of the optical fibers and the availability of high-speed lasers and photo detectors, as well as wideband laser drivers, transimpedance amplifiers, postamplifiers, and clock and data recovery circuits. However there is a demand for ever increasing speed in communications, and technology is rapidly approaching a point where the optical fiber can no longer be treated as having unlimited bandwidth. This is particularly true for the case of multimode fibers, which  
20       may exhibit lower data carrying capacity due to the multimode nature of the fiber. Some modern optical communications systems may be limited in their speed by the bandwidth of the optical fibers.

      Bandwidth efficient communications systems have been developed over several decades in the context of narrowband communications, such as voiceband modems and digital subscriber  
25       loops. These systems make use of techniques such as multilevel Pulse Amplitude Modulation (PAM) or Quadrature Amplitude Modulation (QAM) to encode several bits per transmitted symbol, thus increasing the effective transmitted data rate without increasing the symbol rate or the required bandwidth of the communication channel. To make the most efficient use possible of the available channel bandwidth, channel equalization techniques may also be used to  
30       compensate pulse dispersion and the resulting inter-symbol interference caused by the frequency-dependent attenuation and phase distortion introduced by the channel. Channel coding and coded modulation further increases bandwidth efficiency by allowing the communication system to operate at lower signal to noise ratios. For a fixed amount of noise in the channel, the ability to operate at reduced signal to noise ratios implies that the number of bits encoded in each symbol  
35       can be increased, resulting in higher bandwidth efficiency. The use of bandwidth efficient modulation techniques has resulted in practical communication systems that approach the Shannon bound for channel capacity. However so far these bandwidth efficient communications systems have been implemented at relatively low data rates, since they require complex signal

1 processing techniques that are very difficult to implement at high speed.

5 In general bandwidth efficient modulation techniques have not been applied to fiber optic systems. This is so partly because the optical fibers provide so much bandwidth that, in many applications, it is unnecessary to use bandwidth efficient modulation techniques. Additionally, it has been difficult to implement bandwidth efficient modulation techniques at the data rates normally used in optical communications systems. Accordingly many optical communication systems have been based, with a few exceptions, on simple bandwidth inefficient modulation techniques. With the increasing demand for high speed communications systems, however, as the bandwidth demands increase, optical communications are reaching a point where bandwidth efficient modulation techniques will be needed. Thus the problem of how to implement complex digital signal processing (DSP) and coding algorithms at high speed needs to be solved. Furthermore, a highly cost effective way to implement these complex algorithms is in a single monolithic chip or a chip set consisting of a small number of chips, for example in CMOS (Complementary Metal Oxide Semiconductor) technology. In order to reduce cost, it is desirable to reduce as much as possible the complexity of the optical processing, even at the expense of greatly increasing the complexity of the electronic and DSP functions. As an example, it is desirable whenever possible, to replace wavelength division multiplexing (WDM) techniques, which commonly incorporate several laser light sources, by modulation, coding, and signal processing techniques that provide the same data rate over a single optical carrier. By utilizing a single optical carrier the need for optical multiplexers and demultiplexers, as well as the plurality of lasers and photodetectors inherent in WDM techniques may be eliminated.

15 It is also desirable to replace optical equalization techniques by DSP-based equalization techniques, in order to take advantage of electronic components which are generally less expensive than their optical counterparts. Furthermore, it is desirable to achieve high levels of chip integration, which requires that all analog processing functions, such as amplifiers, mixers, analog filters and data converters be integrated in a single chip or a small chip set. The use of techniques that lend themselves well to VLSI (Very Large Scale Integration), is highly desirable.

20 Commonly data rates of optical communications systems may exceed the maximum clock speeds of the digital signal processors that can be implemented in current VLSI technologies. Accordingly to process the higher data rates available in optical fibers parallel processing architectures may be employed. In general, parallel processing architectures reduce the required processing speed by partitioning computations into a set of subcomputations. The subcomputations may then be executed in parallel by assigning a separate processor to each subcomputation. Accordingly, the implementation of high-speed algorithms in a transceiver may be accomplished by an array of processors running at reduced clock speed executing tasks in parallel. To exploit the parallelism of an array of processors signal processing algorithms should be of such a nature that they may be partitioned into a number of subcomputations to be executed by the parallel processors present in the array.



1 An important consideration in parallizing the computations is that the computational load  
of the different processors be balanced, in order to avoid bottlenecks that may result when one  
processor has a significantly increased processing load as compared to the other processors in the  
array. Not all signal processing algorithms lend themselves well to a parallel processing  
5 implementation, therefore the choice of modulation, equalization and coding algorithms must be  
made with the requirement of parallel processing implementation in mind.

One aspect of the present disclosure is that it may provide bandwidth efficient modulation  
techniques that can be applied to optical communications channels in general. These disclosed  
techniques may be found to be particularly advantageous in channels whose bandwidth is limited,  
10 for example as a result of the use of multimode fibers.

A further aspect of the present disclosure is in providing techniques that enable the  
implementation of these bandwidth efficient modulation algorithms at high speed and in the form  
of a single monolithic chip or a chip set consisting of a small number of chips, for example in  
CMOS technology.

15 The present disclosure also describes modulation, equalization, coding, and data  
conversion algorithms and architectures that lend themselves well to parallel processing  
implementations which may enable the reducing the clock speed of VLSI implementations.  
Further useful aspects of this disclosure will become apparent upon reading and understanding  
the present specification. Optical channels based on intensity modulation and direct detection  
20 are inherently nonlinear. This is because the modulated quantity is the optical power rather than  
the electromagnetic field. The principle of superposition applies to the electromagnetic field,  
as a result of the linear nature of Maxwell's equations. Superposition does not directly apply to  
the optical power. However, it has been shown that under certain very general conditions, the  
intensity-modulated optical channel behaves approximately linearly, and the principle of  
25 superposition can be applied. This linear approximation of an optical channel is commonly  
referred to as the "quasi-linear approximation". The conditions under which the "quasi-linear  
approximation" is valid have been analyzed in the article "Baseband Linearity and Equalization  
in Fiber Optic Digital Communication Systems", by S.D. Personick, appearing in the Bell System  
Technical Journal, Vol.52, No.7, September 1973, pages 1175-1194. Nonlinear distortion may  
30 occur in an optical channel when the conditions for the validity of the quasi-linear approximation  
do not hold. Furthermore, nonlinearities in the transmitter coupled to the fiber channel (such as  
laser or drive electronics non-linearities) may introduce an additional source of nonlinearity even  
if the quasi-linear approximation holds.

35 In the following discussion we assume that the quasi-linear approximation holds, and treat  
the optical channel as linear.

Another impairment that can exist in an optical channel is dispersion. This is a linear  
effect. There are different sources of dispersion in optical fibers. One type of dispersion is  
chromatic dispersion, caused by the dependence of the index of refraction on the wavelength of

the light. If the laser is not perfectly monochromatic (as commonly happens in practice), light components of different wavelengths travel at different speeds, causing dispersion of the transmitted pulses. Commonly chromatic dispersion is small and it causes problems only in long haul optical links. Another type of dispersion is the multimode dispersion, which exists in multimode fibers. The source of this type of dispersion is that different optical fiber modes propagate at different velocities. A transmitted pulse splits its energy among many different modes. Since the light pulse carried by each mode travels at different speed, the receiver observes multiple replicas of the transmitted pulse, arriving at different times, as shown in Figure 1A .

Figure 1A is a graphical illustration showing a light pulse transmitted through a multimode fiber optic channel and the resulting received pulses. Pulse 101 is transmitted. Because pulse 101 travels through multiple modes in the fiber at different speeds pulses 103, 105, 107, 109 and 111 are received at the receiver. If the fiber attenuation is very small and can be neglected, the sum of the energies of all the received pulses equals the energy of the transmitted pulse. In practice, since the fiber has a nonzero attenuation, the sum of the energies of all received pulses will be less than the energy of the transmitted pulse.

As a simplified example, suppose that the transmitted pulse splits its energy equally into only two modes. Also, suppose that, as a result of the different propagation velocities of the two modes, these pulses arrive at the receiver with a time separation  $T$ , and they suffer zero loss. Then if the energy of the transmitted pulse is 1 (in arbitrary units) the two received pulses have energy 0.5 (in the same arbitrary units). Neglecting the propagation delay of the line, the impulse response of the fiber is:

$$0.5 \delta(t) + 0.5 \delta(t-T) \quad \text{Equation(1)}$$

where  $\delta(t)$  is the Dirac delta function. The Fourier transform of this impulse response is the frequency response of the optical channel, and it is given by:

$$H(\omega) = \exp(-j\omega T/2) \cos(\omega T/2) \quad \text{Equation(2)}$$

Figure 1B is a graph of the magnitude of the Equation(2) function. It is clear that this frequency response exhibits nulls at all odd multiples of  $(1/2T)$ . This example illustrates how multimode transmission can give rise to nulls in the frequency response of the fiber. In practice multimode fiber propagates many modes, typically more than one thousand. This multimode propagation may result in rather complex impulse and frequency responses. The impulse and frequency responses are also dependent on the way the laser light is coupled to the fiber. If all modes of the fiber are excited equally, it is said that the channel is operating in "overfilled launch" conditions. This results in an impulse response that closely approximates a Gaussian



function, as shown in Figure 1C, which is a graph illustrating the response of a multimode fiber channel to an "overfilled launch."

The analytic expression for the impulse response illustrated in figure 1 C is:

$$h(t) = 1 / ((\sqrt{2\pi}) \alpha T) \exp(-t^2/2(\alpha T)^2) \quad \text{Eqn.(3)}$$

Where  $\alpha$  is a fiber dependant parameter. The corresponding frequency response is given by:

$$H(f) = \exp(-(2\pi\alpha T f)^2/2) \quad \text{Equation(4)}$$

$H(f)$  is also a Gaussian function, so in the case of overfilled launch conditions, the frequency response does not exhibit nulls. The 3dB optical bandwidth is:

$$B = 0.1874/(\alpha T) \quad \text{Equation(5)}$$

This is called the "overfilled bandwidth" of the multimode fiber. The overfilled bandwidth of a fiber depends on the core diameter and refraction index profile of the fiber as a function of the radius, the fiber length, and the wavelength of the laser, among other things. The bandwidth is inversely proportional to the length of the fiber, so that the product of the bandwidth times length is a constant for a given type of fiber and a given laser wavelength. For example, typical fibers in widespread use today have an overfilled bandwidth of 500MHz • Km at a wavelength of 1310nm, and 160 MHz • Km at a wavelength of 850nm.

A number of alternative launch techniques such as offset launch and vortex launch have been developed in order to excite a reduced number of fiber modes. These techniques could result in increased bandwidth, but the impulse response could also show multiple peaks, as in the example of Figure 1A. This kind of impulse response usually results in a frequency response with nulls similar to the ones shown in Figure 1B, but with a more complicated structure. The exact shape of the frequency and impulse responses is a complicated function of the fiber characteristics and the launch conditions.

Various methods of transferring data over a fiber optic channel are known in the art. Multi-carrier modulation or MCM is one method of communicating over a fiber optic channel. In MCM, multiple carriers at different frequencies are modulated with data. The modulated carriers are then summed and the sum signal is used to modulate a laser, for example, by modulating the intensity of the laser beam.

Multi-carrier modulation systems may also be adapted for use in legacy fiber channels, which may have nulls and noisy frequency bands. Multi-channel modulators, according to embodiments of the present invention may have variable modulations and carrier frequencies.

1 The variable modulations and carrier frequencies of MCM may be selectively chosen in order to  
accommodate nulls, noisy frequency bands, and other transmission impediments of existing  
and/or low quality channels. Nulls and noisy frequency bands can be determined in an existing  
channel, for example, by introducing a test signal and measuring the received result. Multi-  
5 channel modulators may also be used, in parallel, in order to upgrade existing fiber channels to  
virtually any capacity desired. To upgrade the capacity of existing fiber channels, multiple  
MCMs may be added in parallel using multiple lasers having different wavelengths. Because  
each laser may have its own response within the channel, each channel may undergo an  
initialization, in which a test signal is used to determine the frequency characteristics of the  
10 channel at that particular laser wavelength.

Existing fiber channels may be upgraded into any data-carrying capacity desired by  
simply adding more MCMs and multiple lasers, having different wavelengths, in parallel until  
the desired data-carrying capacity is achieved.

Figure 1D is a block diagram of an exemplary fiber optic data transmission system.  
15 Digital data is coupled into a data processing block 101. Data processing block 121 processes  
the input data and prepares it for the optical transmitter 123. The optical transmitter 123  
transmits the data across a fiber optic channel 125. The optical receiver 107 accepts the data  
from the fiber optic channel 125 and then couples it into a data processing module 129. The data  
from the optical receiver 107 is processed in the data processing module 129 and then provided  
20 to the application, which will receive data.

The blocks within the block diagram of Figure 1D may have a variety of possible  
implementations. For example, in a bit serial approach, the data processing block 121 may  
accept data and convert the data into a serial bit stream. The optical transmitter 123 may then  
accept the serial bit stream from the data processing block 121 and transmit as a serial type on/off  
25 keying (OOK) signal. In on/off keying a laser within the optical transmitter block 123 is ideally  
turned on and off depending on whether the data that is received from the data processing block  
121 is a one or zero.

In practice, the laser is never turned off completely, because the turn-on time of typical  
lasers is relatively long, therefore the repeated turn-on transients would degrade the speed of the  
30 optical transmission system. Instead the optical power is switched between a high value  
(representing a discrete logic level such as a "1") and a low value (representing a discrete logic  
level such as a "0"). The ratio of the high to low optical power is commonly called the  
"extinction ratio." The laser may also be switched between several intensity levels in order to  
represent symbols from a logical alphabet.

35 For the purposes of simplifying this disclosure when two levels of optical power are  
transmitted the high and low levels may be referred to as on and off optical pulses, but it should  
be understood that an "off" pulse will typically carry a non zero amount of optical power.

In an exemplary fiber optic system according to Figure 1, data is conveyed as a series of

1 on/off optical pulses from the optical transmitter 123 through the fiber 125 to the optical receiver 127. The optical receiver 127 will then provide the on/off data stream to the data processing module 129. The data processing module will then convert the serial on/off stream to a data output, for example, in a parallel type format.

5 The blocks in Figure 1D may also be configured in a Wavelength Division Multiplexing (WDM) approach. Wavelength division multiplexing approaches are, in general, divided into dense and coarse WDM, commonly referred to as DWDM and CWDM respectively. The wavelength division multiplexing technique employs multiple optical carriers, which are modulated by a data stream. The dense version of wavelength division multiplexing generally  
10 comprises laser carriers whose wavelengths differ by less than one nanometer. Coarse wavelength division multiplexing generally comprises laser carriers whose wavelengths differ by ten nanometers or more. Dense wavelength division multiplexing systems have been created with, for example, up to 100 different wavelengths. Such systems are expensive. A major contributing factor to the expense of wavelength division multiplexing systems is the multiple  
15 optical sources for the different wavelengths, which carry the data from the transmitter to the receiver. Additionally the expense of combining the multiple optical carriers, in WDM systems, adds to their cost. Additionally, once the data carriers arrive at the optical receiver 127 they commonly must then be optically split, in order to retrieve the data streams from the individual optical carriers.

20 It is desirable, from a cost standpoint, to employ a single optical transmitter 123 and a single optic receiver 127 if possible. It is also desirable that the optical transmitter 123 and optical receiver 127 be as simple as possible and any processing accomplished in the electronic data processing blocks 121 and 129. In a preferred embodiment of the present invention, data processing block 121 as well as data processing block 129 comprise a single integrated circuit  
25 each. By transferring all functions except those used for the actual optical transmission into, for example, a CMOS (Complementary Metal Oxide Semiconductor) integrated circuit, a low cost implementation of the transmit side of the system in Figure 1 can be realized. Likewise, if the optical receiver 127 is restricted to those components needed for optical reception and all other functions are performed within the data processing block 129, (for example a CMOS integrated  
30 circuit), the costs for the received portion of this circuitry of Figure 1 can be minimized. Once such embodiment of a multi-carrier modulation transmitter, which can be integrated into a single CMOS circuit, is illustrated in Figure 2.

Figure 2A is a block diagram of a multi-carrier modulation transmitter. The illustrative MCM transmitter of Figure 2A has a capacity of 10 gigabits/second. In Figure 2, 64 bits of data  
35 201 are coupled into a XGMII (Ten Gigabit Media Independent Interface) module 203. The XGMII is shown as providing a 64 bit input or output at a clock rate of 156.25 MHz. In reality the XGMII interface operates on 32 bits at a time, and is clocked on both the rising and the falling edges of the 156.25 MHz clock. A complete description of the XGMII interface is given in the

1 presentation "10 Gig MII Update" by H. Frazier, presented at the IEEE 802.3 High Speed Study  
Group Plenary meeting in Kauai, HI, on November 9, 1999. A copy of this presentation can be  
obtained from the IEEE 802.3 web site, at:

5 [http://grouper.ieee.org/groups/802/3/10G\\_study/public/nov99/frazier\\_1\\_1199.pdf](http://grouper.ieee.org/groups/802/3/10G_study/public/nov99/frazier_1_1199.pdf).

Other system interfaces besides the XGMII have been under consideration by the  
IEEE802.3ae Task Force, and will likely be included in the standard. One important alternative  
interface is the XAUI interface, described in the document:

10 [http://grouper.ieee.org/groups/802/3/ae/public/may00/taborek\\_2\\_0500.pdf](http://grouper.ieee.org/groups/802/3/ae/public/may00/taborek_2_0500.pdf)

Those skilled in the art will recognize that the interfaces illustrated within this disclosure are by  
way of example and are chosen as examples likely to be familiar to those skilled in the art. Other  
interfaces may also be used. The uses of the exemplary interfaces within this disclosure are in  
no way meant to limit the inventive concepts to those interfaces and serve the purpose of  
15 illustration and example only.

The 64 bits are then divided into four bit nibbles and further provided to the modulators.  
Modulator zero, 205 is a QAM-16 (Quadrature Amplitude Modulation) module. The QAM-16  
module 205 accepts four bits of data from the XGMII module 203. The frequency that is mixed  
20 with the output of the QAM-16 modulator in mixer 207 is zero megahertz. After the mixing  
stage 207, the signal is bandpass filtered in block 209 to remove any undesirable components.  
The filtered signal is then coupled into a combiner 211. Similarly, the second four bits from the  
module 203 are coupled into QAM modulator 213. The output of the QAM-16 modulator 213  
25 is coupled into a mixer 215 where it is mixed with a 200 megahertz signal. The resultant signal  
is then bandpass filtered, in bandpass filter 217, and coupled into the combiner 211. The signals  
which are coupled into the combiner 211 from bandpass filter 217, occupies a frequency  
bandwidth from 200 megahertz to 356.25 megahertz. Each mixer within the exemplary multi-  
30 carrier modulation block diagram illustrated in Figure 2 has a frequency which is separated from  
successive mixer frequency by 200 megahertz. In such a way by having the bandpass less than  
the separation of mixing frequencies may minimize the crosstalk between the modulators.

In the final stage, four bits are coupled from the XGMII modulator 203 into the final  
35 QAM-16 modulator 219. The frequency of modulation, which is coupled into mixer 221, is 3.0  
gigahertz. The output of mixer 221 is then coupled into bandpass filter 223 to remove any  
unwanted components. The filtered signal is then coupled from the bandpass filter 223 into the  
combiner 211. The combiner 211 combines all the modulated frequency bands into a single

1 frequency spectrum. The frequency spectrum signal is then further coupled to laser drive  
electronics 213. The output of the laser drive electronics 213, is an intensity modulated signal  
corresponding to the combination of all 16 modulators. All of the electronics illustrated in  
5 Figure 2 and encompassed within the dotted line 225 may be integrated into a single integrated  
circuit, for example a CMOS integrated circuit chip. By integrating all the electronics within the  
block 225 on a single chip, the system cost may be reduced. By placing as much of the  
processing as possible within the integrated circuit 225, costs of assembly, alignment and  
multiple optical parts may be greatly reduced.

10 Figure 2B is a block diagram of a digital implementation of a QAM-16 modulator, such  
as illustrated in figure 2A. The QAM 16 Mapping block 229 accepts a four bit input signal at  
a frequency of 156.25 MHz. The QAM 16 Mapping block 229 then maps the four input bits into  
I (inphase) and Q (quadrature) representations of the input bits received. The I and Q values are  
15 then provided to Square Root Raised Cosine Filters 231 and 233 respectively. The sampling  
frequency  $f_s$  of Square Root Raised Cosine Filters 231 and 233 is typically three times the symbol  
rate or higher (in the present example 468.75 Mhz or higher). Because of the DSP based  
implementation, the carrier frequency  $\omega_0 = 2\pi f_0$  must be limited to a relatively low value such as  
20 100Mhz. Higher carrier frequencies would require an increase in the sampling frequency  $f_s$  of  
the square root raised cosine filters and D/A Converters making the implementation difficult.  
The signals are then multiplied in blocks 235 and 237 and summed in block 239. The summed  
value is then coupled into a Digital to Analog converter 241, and then further into a smoothing  
25 filter prior to the coupling of the signal to the mixer (e.g. 207).

Figure 2C is a block diagram of an analog implementation of a QAM-16 modulator, such  
as illustrated in figure 2A. The QAM 16 Mapping block 229 accepts a four bit input signal at  
a frequency of 156.25 MHz. The QAM 16 Mapping block 229 then maps the four input bits into  
30 I (inphase) and Q (quadrature) representations of the input bits received. The I and Q values are  
then provided to Square Root Raised Cosine Filters 231 and 233 respectively. The outputs of the  
Square Root Raised Cosine Filters 231 and 233 are then coupled into D/A converters 245 and  
247, then further provided to smoothing filters 249 and 251, prior to being mixed in mixers 253  
and 255, and then further combined in 257. In this approach the modulation can be done at the  
35 carrier frequency directly  $f_c$  directly, avoiding the need of the mixer and bandpass filter.  
However, this approach requires that the amplitude and phase of the I and Q carriers  $\cos(\omega_c t)$  and  
 $\sin(\omega_c t)$  be very accurate.



1           Figure 3 is a block diagram of a MCM receiver. The signal from the laser drive  
electronics 213 is provided to a laser, for example a solid state laser. The output of the laser is  
coupled to a fiber optic channel. The output of the fiber optic channel is provided to a photo  
5   detector and amplifier 301. The photo detector and amplifier 301 converts the optical signal into  
a voltage level. The photo detector and amplifier 301 then couples the amplitude modulated  
signal into a series of mixers. The output of the photo detector and amplifier 301 corresponds  
to the output of the combining circuit 211. The first mixer 303 mixes the incoming signal with  
10   zero megahertz. In other words, the signal is basically coupled into a low pass filter 305. A  
mixer 303 is illustrated even though no mixing is occurring because, in other embodiments of  
the MCM system, a first mixer, such as 303, may provide a programmable mixing function. Low  
pass filter 305 filters the incoming signal and provides a baseband frequency signal to a QAM-16  
15   demodulator 307. The QAM-16 demodulator demodulates the baseband signal provided to it by  
the low pass filter 305. The output of the QAM 16 demodulator is a four bit data signal which  
is then provided to the XGMII 309. Similarly, the second mixer 313 accepts the amplitude  
modulated signal from the photo detector and amplifier 301. The signal is then mixed with a 200  
20   megahertz mixer signal which translates a portion of the signal bandpass, corresponding to 200  
to 400 megahertz, into a baseband signal. The baseband signal is filtered in the low pass filter  
315 thereby eliminating other unwanted, non-baseband signals. The output of the low pass filter  
is then coupled into a QAM-16 demodulator 317. The output of the QAM-16 demodulator 317  
is a four bit symbol which is coupled into the XGMII 309.

25           Additional mixers (e.g. 303 and 313) are provided with mixing signals separated by 200  
megahertz. The 16<sup>th</sup> mixer 319 accepts the bandpass signal from the photo detector and amplifier  
301, and mixes it with a three gigahertz signal. The output of the mixer 319 is then coupled into  
a low pass filter 321. The low pass filter 321 passes the resulting baseband signal and provides  
30   it to the QAM-16 demodulator 322. The QAM-16 demodulator demodulates the signal into a  
four bit data signal. The four bit symbol is then coupled into the XGMII (Ten Gigabit Media  
Independent Interface) unit 309. The XGMII combines all 16 input symbols provided to it to  
produces a stream 311 64 bits wide.

35           Figure 4 is a block diagram of an alternative implementation of a multi-carrier transmitter.  
In Figure 4, a 64 bit wide digital signal 401 is coupled into a XGMII 403. The XGMII interface  
separates the bits into four bit groups. Each four bit group is coupled into an assembling coder,  
for example, 405, 415 or 419. The output of each symbol encoder is then coupled into an inverse

1 fast Fourier transform block 407. Digital to analog converters for example, 409, 417 and 421  
convert the output of the inverse fast Fourier transform (IFFT) block 407 into analog samples.  
The analog samples are then coupled into an analog multiplexer 411 with a sampling frequency  
5 of five gigahertz. The analog multiplexer multiplexes all analog signals into a single waveform  
comprising successive samples. The analog waveform is then coupled into laser drive electronics  
413 and is used to modulate the laser intensity using drive electronics 413. The implementation  
illustrated in Figure 4 may be integrated into one integrated circuit device 423 capable of  
10 accepting a 64 bit wide digital bit stream. In the present embodiment, the 64 bit wide digital  
signal stream has a frequency of 156.25 megahertz resulting in an overall data carrying capacity  
of 10 gigabits per second. The laser drive electronics 413 intensity modulates the laser beam,  
which is then coupled into a fiber optic channel. The other end of the fiber optic channel is then  
coupled into the photo detector and amplifier 501 of the receiver module, for example, as  
15 illustrated in Figure 5.

Figure 5 is a block diagram of an alternate implementation of multi-carrier modulation  
receiver, corresponding to the alternative implementation of multi-carrier modulation transmitter  
illustrated in Figure 4. The photo detector and amplifier 501 receive the multi-carrier modulated  
20 signal from the fiber optic and convert it into an electrical signal. The resulting electrical signal  
from photo detector and amplifier 501 is coupled into an array of sample and hold circuits, for  
example sample and holds 503, 515 and 521. The sample and hold circuit samples successive  
values in the input waveform. The output of the sample and hold circuits are then coupled into  
25 analog to digital converters such as for example 505, 517 and 523. The digital outputs from the  
analog to digital converters are further coupled into a fast Fourier transform module 507. The  
sequence of symbols which comprise the output of the fast Fourier transform block 507 are  
coupled into symbol decoders, for example, 509, 519 and 525. The output of each symbol  
30 decoder is a set of four data bits. The set, of four bits from each symbol decoder is coupled into  
the XGMII interface 511. The XGMII interface 511 receives the four bit input from the symbol  
decoders and forms a 64 bit parallel output. The 64 bit parallel output from the XGMII 511 is  
clocked out at a frequency of 156.25 megahertz. The output of the XGMII therefore represents  
35 a 10 gigabit per second digital data stream. The entire receiver represented by the block 525 can  
be integrated within a single integrated circuit, such as a CMOS integrated circuit.

The transmission techniques illustrated in Figures 2, 3, 4 and 5 can provide for 10 gigabits  
per second data transmission at a symbol rate of 156.25 megahertz by utilizing the intrinsic

1 parallelism present in the sub-carrier multiplexing technique.

5 If a non-parallel method were used to transmit 10 gigabits per second at a symbol rate of 156.25 megahertz, 64 bits per symbol would be required to achieve the 10 gigabit per second data rate. This would result in a modulation constellation of astronomical complexity and low noise immunity. Even if the symbol rate was quadrupled to 625 megahertz, a 65,536 QAM constellation would be required. In addition, because the 156.25 megahertz rate is relatively low, multi-carrier modulation is robust and multi-path propagation effects, such as multi-mode dispersion in fibers, is minimized due to the low transmission frequency. Those skilled in the art will recognize that the multi-carrier modulation technique just described is exemplary. Many variations of individual bandpasses, modulation constellations and carrier frequencies are possible within the scope of the inventive concepts of this disclosure.

15 The multi-carrier modulation concepts illustrated in Figures 2, 3, 4, and 5 may also be used to increase the data rate within current deployed fiber networks. A difficulty that may be encountered with current fiber networks is that some of the fibers used in current fiber channels may be of lesser quality.

20 Figure 10 shows a modification of the transmitter of Figure 2A that incorporates trellis coding. The 4-bit input from the XGMII block is passed to a rate 5/4 convolutional coder. The 5-bit output from the convolutional coder is modulated using a QAM-32 modulator. Trellis coding requires an expanded constellation, but does not increase the symbol rate of the QAM modulator or the bandwidth of the modulated signal. The same idea can be applied to the alternative transmitter implementation of Figure 4. This is shown in Figure 11. The modified receivers incorporating trellis decoders for the implementations of Figure 3 and 5 are shown in Figures 12 and 13 respectively. The use of trellis coding results in increased robustness and decreased error rate in the presence of noise and other channel impairments. While Figures 10 through 13 show particular embodiments of trellis coding and decoding for an optical communications transceiver, it will be clear to anyone skilled in the art that many alternative embodiments are possible without departing from the spirit and scope of the present invention.

35 Those fiber channels may exhibit channel anomalies such as deep nulls, particularly in the case of fibers with multi-mode dispersion. Such nulls are illustrated in Figure 6.

Figure 6 is a graph of intensity versus frequency representing the transfer function of an exemplary fiber optic system. The ideal response is linear, that is the transmitted intensity does not vary as the laser light output is modulated at different frequencies. However fiber optic

1 systems, particularly those exhibiting multi-mode interference, may exhibit nulls such as 603 and  
605. The nulls 603 and 605 may be due in part to a large number of different factors such as  
multi-mode dispersion within the fiber, imperfect coupling of the fiber to the light source,  
5 frequency response of driver electronics and a variety of other factors.

Figure 7A is a block diagram of a circuit implementation, as may be used with fiber  
channels exhibiting nulls, noise and/or frequency roll off. In Figure 7, a parallel bit stream 701  
comprising bits 0 through 63 is coupled into a XGMII interface. The XGMII interface is  
controlled by a micro controller 727 through a controlling bus 729, such as an I<sup>2</sup>C bus. The  
10 control bus 729 controls the XGMII controller so that the number of bits which are provided to  
each modulator such as 705, 713 and 719, are variable. Additionally, the micro controller 727  
using the control bus 729 may control the modulation constellations of the modulators within the  
system. The control bus may also control the modulation frequencies such as 731, 733 and 735  
15 which are applied to mixer 707, 715 and 721, respectively. The micro controller 727 may also  
control the bandpass filters such as 709, 717 and 723. The micro controller 727 may control the  
system variables so that the mapping of data from the input 701 through the modulators and  
frequency bands avoids fiber channel anomalies such as nulls within the optic system, for  
20 example the nulls illustrated at 603 and 605 of Figure 6.

In order to configure the circuit 225 to avoid nulls and to match the noise response of the  
channel the configuration of the transmitter can be arranged to match the transfer characteristics  
of the fiber channel. To arrange the configuration of the transmitter the transfer characteristics  
25 of, the fiber channel characteristics need to be determined. Such a process to determine the  
frequency response of the fiber channel is illustrated in Figure 7B.

Figure 7B is a flow diagram illustrating the process by which the transfer characteristics  
of a fiber channel may be mapped. The process begins in block number 741 when the transmitter  
and receiver are coupled to a fiber channel. In block 743, the transmitter then transmits an  
30 intensity modulated signal, preferably having a flat frequency characteristic, in which the  
frequency of intensity is swept from the minimum frequency, to be used on the channel, to the  
maximum frequency, to be used on the channel. In block 745, the receiver receives the signal  
and measures intensity versus frequency. This measurement of intensity versus frequency  
35 between the frequencies of  $F_{\min}$  and  $F_{\max}$  will characterize the frequency transfer characteristic of  
the fiber channel. The measurements of intensity versus frequency are then communicated from  
the receiver to the transmitter. In block 747 the transmitter maps the fiber channel response onto

1 the circuitry of the transmitter. The process then ends in block 749.

As an illustration of configuring the transmitter according to the fiber channel response, Figure 2A is compared with Figure 7A. In Figure 2, for example, modulator 213 receives a four  
5 bit value. Modulator 213 utilizes a QAM-16 modulator. The carrier frequency is 100 megahertz and the bit rate is 156.25 megahertz. The bandpass filter 217 is then set to a value of 0 to 200 megahertz. The other modulators and bandpass filters could be similarly set according to channel characteristics.

10 If for instance one half of the 200 megahertz baseband frequency of the bandpass filter 217 corresponded to a null within the fiber optic channel, the null could be compensated for (as shown in Figure 7A) by reducing the symbol rate of modulator 713. Modulator 713 would then only accept 2 bits of data from the XGMII interface 703 at a time. The micro controller 727  
15 could program the XGMII 703 to couple only 2 bits at a time into modulator 713. If on the other hand, the particular band corresponding to modulation frequency two were exceptionally free of noise, the XGMII could be controlled by the micro controller 727 to couple five bits into modulator 713 and QAM-32 could be used within modulator 213. Similarly, the bandwidths of  
20 the carriers could be adjusted to be wider or narrower and carry more or less information depending on the characteristics of the fiber channel. The mapping, of course, would have to be communicated either explicitly or implicitly to the receiver in order for the data stream communicated to be properly decoded. Figure 8 is an example of a multi-carrier modulation receiver that may be used with the transmitter illustrated in Figure 7A.

25 Figure 8 is a block diagram of a receiver as may be used with the transmitter illustrated in Figure 7A. In Figure 8 the photo detector and amplifier 801 receives a signal from the fiber channel. The receiver 825 has been configured to match the transmitter 725 in Figure 7A. The receiver in Figure 8 may be configured in a variety of ways. The receiver illustrated in Figure  
30 8 may be configured explicitly by receiving a mapping of the channel transfer characteristic from the transmitter. The receiver in Figure 8 may be also mapped implicitly by having the same mapping algorithm within the micro controller 827 as within the micro controller 727. Because the mapping of the frequency characteristics of the fiber channel is accomplished by sending a  
35 signal from the transmitter to the receiver and the receiver sending the characteristics of the fiber channel to the transmitter, the receiver already knows the characteristics of the fiber channel because of the signal received from the transmitter. If the same algorithm for mapping modulation, mixer frequencies and bandpass filter widths are used within the receiver as within



1 the transmitter the configuration of the transmitter need not be expressly communicated to the  
receiver only the overall response of the channel need be communicated. For example, after the  
frequency response of the channel dictated that 2 bits would be coupled into modulate 713 at a  
5 time, the same algorithm within micro controller 827 would configure demodulator two block  
number 817 as an 8PSK demodulator. Alternately, the configuration from the transmitter circuit  
may be communicated to the receiver circuit explicitly on start up. By using the transmitter in  
Figure 7A, mapping the fiber channel and communicating the mapping to similarly configure a  
10 receiver as illustrated in Figure 8, the capacity of any existing fiber channel, no matter the  
characteristic, can be accommodated. Additionally, the system illustrated in Figure 7A and Figure  
8 may be extended through the use of multiple wavelength lasers and parallel MCM transmitter  
receiver pairs. For example, an existing fiber can have two laser beams coupled through it to  
15 communicate between a sending end and a receiving end. Such a system is illustrated in Figure  
9.

Figure 9 is a block diagram illustrating the use of a set of three multi-carrier modulation  
transmitters and three corresponding multi-carrier modulation receivers used in parallel in order  
to increase the data carrying capacity of the illustrated fiber channel. In Figure 9, three multi-  
20 carrier modulation transmitters 725A, 725B and 725C are employed in order to intensity  
modulate the beams of three lasers 903, 905 and 907. The embodiment of Figure 9 is by way of  
illustration and example only. The number of multi-carrier modulation transmitters, lasers and  
receivers that are used may vary from embodiment to embodiment depending on the capacity  
25 desired and the transfer characteristics of the fiber channel 911 carrying the data. In Figure 9,  
data is input serially to a splitter 901. Splitter 901 splits the data so that three separate streams  
of data are coupled into three multi-carrier modulation transmitter modules 725A, 725B and  
725C. The multi-carrier modulation transmitter modules 725A, 725B and 725C may be identical  
30 modules. In a preferred embodiment, each module is implemented as a single integrated circuit  
chip, for example, a CMOS integrated circuit chip. The multi-carrier modulation transmitters  
are further coupled into laser drive electronics 713A, 713B, and 713C, respectively. Laser drive  
electronic 713A drives laser 903, laser drive electronic 713B drives laser 905, and laser drive  
35 electronic 713C drives laser 907. The outputs of lasers 903, 905 and 907 are combined optically  
in a combiner 909 which also couples the combined optical beams into a fiber optic channel 911.  
The fiber optic channel 911 carries the three laser beams to a receiver and beam splitter 913.  
The receiver and beam splitter 913 splits the output from the fiber optic channel 911 back into

1 its constituent laser carriers. The three laser carriers are then coupled into photo detectors and  
amplifiers 801A, 801B, and 801C. The photo detectors and amplifiers convert the light signal  
into an electrical signal which is then further coupled into multi-carrier modulation receivers  
5 825A, 825B and 825C. Multi-carrier modules 825A, 825B and 825C may be identical and may  
operate in parallel without needing to be aware of the operation each other. The output of the  
multi-carrier modulation receivers are then combined in combiner block 915A and produce an  
output data stream. Multi-carrier modulation transmitters, lasers and multi-carrier modulation  
receivers can be added as needed in order to achieve the capacity desired.

10 For example, if the fiber optic channel 911 is an existing fiber optic channel for a local  
area network and it is desired to upgrade it to service a 10 gigabit ETHERNET network,  
transmitters, lasers and receivers may be added in parallel until the 10 gigabit capacity is  
achieved. The multi-carrier modulation transmitters and receiver pairs may cooperate to  
15 characterize the fiber optic channel at each laser wavelength used. By combining multiple multi-  
carrier modulation transmitters, as illustrated at 917, and multi-carrier modulation receivers as  
illustrated at 919, a very efficient architecture for implementing high speed data rate  
communications utilizing existence fibers can be accomplished. For example, by combining the  
20 data splitter 901 with a plurality of multi-carrier modulation transceivers 725, as illustrated at  
917, a number of lasers can be accommodated. Likewise, a number of receivers 825 and a data  
combiner 915 can be combined in a single integrated circuits 919. These circuits can then be  
coupled to laser drive electronics and laser receiving electronics as needed in order to achieve any  
25 capacity desired. Accordingly, existing fiber optic channels 911 can be upgraded at reasonable  
costs without having to replace existing fiber channels. The receivers and transmitters can adjust  
to the characteristics of the fiber channel and high speed networks, for example, 10 gigabit  
communication networks, can be implemented despite the fact even in fiber channels of inferior  
30 quality.

Even if fiber channels have grossly inferior transfer characteristics the channel may be  
upgraded to a high data rate by adding as many of the configurable multi-carrier modulation  
receivers in parallel as necessary to achieve the desired data rates and noise immunities.

35 Figure 10 is a block diagram illustrating a modification of the transmitter of Figure 2A  
to incorporate trellis coding.

Exemplarily a 4-bit input from the XGMII block 1003 is passed to a rate 5/4  
convolutional coder 1005. The 5-bit output from the convolutional coder is modulated using a

1 QAM-32 modulator 1021 prior to being coupled to a mixer 1017. The mixer 1017 then couples  
the mixed signal to a bandpass filter 1021, which filters out unwanted components and further  
provides the filtered signal to a combiner circuit 1027. The combiner circuit 1027 combines all  
5 the bandpass signals provided to it by the plurality of bandpass filters and then provides the  
combined signal to the laser drive electronics 1027.

Trellis coding requires an expanded constellation, but does not increase the symbol rate  
of the QAM modulator or the bandwidth of the modulated signal, accordingly in the present  
10 exemplary embodiment a QAM 32 modulator is used to accommodate a 5 bit input. Other  
modulators may be used depending on implementation requirements. The convolutional coder  
provides a trellis encoding that enhances the robustness of the code and reduces such problems  
as inter-symbol interference.

15 Figure 11 is a block diagram illustrating a modification of the alternate transmitter of  
Figure 4 to incorporate trellis coding. In figure 11 a 5/4 convolutional coder accepts a 4 bit input  
and provides a 5 bit output. The addition of a convolutional encoder provides redundancy in the  
code and provides a mechanism for reducing problems such as inter-symbol interference.

20 Figure 12 is a block diagram illustrating a modification of the receiver of Figure 3 to  
receive a trellis coded signal. In figure 12 a QAM 32 demodulator 1215 is disposed between the  
low pass filter (for example 1209) and the XGMII 1227. The QAM 32 demodulator 1215  
produces soft decisions for the purpose of providing them to a trellis decoder 1221. The  
demodulator 12 has been chosen as a QAM 32 demodulator in order to match the input signal.  
25 Other demodulators may be used corresponding to the modulation of the transmit signal.

Figure 13 is a block diagram illustrating a modification of the receiver of Figure 4 to  
receive a trellis coded signal. In figure 13 the 32 Inverse Fourier Transform provides symbols  
(S) along with the complex conjugate of the signals ( $S^*$ ) to a trellis decoder. The trellis decoder  
30 decodes the symbols and then provides a 4 bit output signal to the XGMII to combine with other  
signals in order to produce the output signal.

The use of trellis coding results in increased robustness and decreased error rate in the  
presence of noise and other channel impairments. While Figures 10 through 13 show particular  
35 embodiments of trellis coding and decoding for an optical communications transceiver, it will  
be clear to anyone skilled in the art that many alternative embodiments are possible without  
departing from the spirit and scope of the present invention. For example the convolutional  
coders may be changed, the modulators may utilize other modulation constellations and

1 modulation frequencies, and the modulators, encoders, bandpass filters, demodulators, also may  
be changed for example to accommodate the characteristics of the fiber channel.

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## 1 WHAT IS CLAIMED IS:

1. An apparatus for transmitting data on a fiber channel, the apparatus comprising:  
an input that receives a digital signal to be transmitted;  
5 a plurality of programmable modulators each configured to accept a portion of the digital signal to be transmitted, and to modulate the portion of the digital signal accepted;  
a plurality of mixers, each mixer coupled to the output of one of the programmable modulators to accept a modulated signal and mix it with a mixer frequency;  
10 a plurality of bandpass filters, that filter the output of the mixers; and  
a summation unit that combines the output of the mixers into a single signal.
2. An apparatus as in claim 1 wherein the input that receives a digital signal to be  
15 transmitted comprises a Gigabit Media Independent Interface (GMII).
3. An apparatus as in claim 1 wherein the input that receives a digital signal to be  
transmitted further comprises a control input that controls the number of bits of the digital signal  
20 to be transmitted which are provided to each modulator.
4. An apparatus as in claim 1 wherein each programmable modulator further  
comprises a control input that controls the type of modulation that is applied to the portion of the  
25 digital signal that is accepted by each modulation.
5. An apparatus as in claim 4 wherein the type of modulation selected consists  
essentially of binary phase shift keying (BPSK), quadrature phase shift keying (QPSK), and  
30 quadrature amplitude modulation (QAM).
6. An apparatus as in claim 1 wherein the mixer frequency that is provided to the  
mixer is a programmable frequency.
- 35 7. An apparatus as in claim 1 wherein each bandpass filter has a programmable  
bandpass.



1           8.     An apparatus as in claim 1 wherein the apparatus is integrated within a single  
integrated circuit.

5           9.     An apparatus as in claim 8 wherein the single integrated circuit is a  
complementary Metal Oxide Semiconductor (CMOS) integrated circuit.

10          10.    An apparatus for receiving data from a fiber channel the apparatus comprising:  
an input that receives a wide band signal;  
a plurality of mixers that accept the wideband signal and mix it with a mixer  
frequency;  
a plurality of low pass filters that filter the outputs of the mixers;  
15 a plurality of programmable demodulators each accepting the output of one of the  
mixers and demodulating said mixer output thereby providing a demodulated digital output; and  
a combiner circuit for combining the demodulated digital outputs from the  
plurality of programmable demodulators into at least one digital data stream.

20          11.    An apparatus as in claim 10 wherein the mixer frequency is a programmable  
frequency.

25          12.    An apparatus as in claim 10 wherein the plurality of low pass filters have  
programmable bandwidth.

30          13.    An apparatus as in claim 10 wherein the programmable demodulators further  
comprise a control input that controls the type of demodulation applied to the signal accepted  
from the mixer.

35          14.    An apparatus as in claim 13 wherein the type of modulation selected consists  
essentially of BPSK, QPSK, and QAM.

15.     An apparatus as in claim 10 wherein the combiner circuit comprises a XGMII.

- 1           16.    A method for transmitting data on a fiber optic channel, the method comprising:  
              providing a test signal to a fiber optic channel;  
              receiving a characterization of the channel defined by the channel response to the  
5   test signal;  
              programming a multicarrier modulator corresponding to the characterization of  
the channel.
- 10           17.    A method as in claim 16 wherein providing a test signal to a fiber optic channel  
further comprises providing an intensity modulated light source wherein the intensity changes  
according to a frequency sweep signal, but the maximum and minimum intensity does not  
change.
- 15           18.    A method as in claim 16 wherein programming a multicarrier modulator further  
comprises selecting a type of modulation for a plurality of modulators, wherein each modulator  
corresponds to a modulation of one of a multicarrier signals.
- 20           19.    A method as in claim 16 wherein programming a multicarrier modulator further  
comprises providing a plurality of selected mixer frequencies to a plurality of mixers, wherein  
the mixers determine the carrier frequencies for the multicarrier modulator.
- 25           20.    A method as in claim 19 further comprising programming a bandpass filter with  
a center frequency corresponding to the mixer frequency of the mixer which provides a signal  
to the bandpass filter.
- 30           21.    A method as in claim 20 further comprising selecting the bandwidth of a plurality  
of bandpass filter depending on the modulation of the signal being filtered by each bandpass  
filter.
- 35           22.    An apparatus as in claim 1 further comprising a plurality of convolutional coders  
disposed between the input and at least one of the plurality of programmable modulators and  
accepting a portion of the signal to be transmitted from the input and performing a convolutional

1 coding on the accepted signal thereby providing a convolutionally coded output to the at least at least one of the plurality of programmable modulators.

5 23. An apparatus as in claim 10 further comprising:  
at least one demodulator providing soft decisions as an output;  
at least one trellis decoder that accepts soft decisions from the at least one demodulator  
and provides a trellis decoding of the soft outputs and provides a hard decision to the combiner  
10 output.

24. An apparatus for transmitting data on a fiber channel the apparatus comprising:  
15 an input that receives a digital signal to be transmitted;  
a convolutional coder disposed between the input and at least one symbol encoder;  
a plurality of symbol encoders that accept a portion of the signal received by the input,  
and encode the input into a symbol (S) and a complex conjugate of the symbol (S\*);  
20 a 32-inverse fast Fourier Transformer (32-IFFT) that receives S and S\* signals and  
provides a digital output signals;  
a plurality of D/A converters for accepting the digital outputs from the 32-IFFT and for  
25 converting the accepted digital value to an analog value; and  
an analog multiplexer that samples the analog outputs from the plurality of digital to  
analog converters and combines them into an interleaved signal having successive values  
representative of the output of the plurality of digital to analog converters.  
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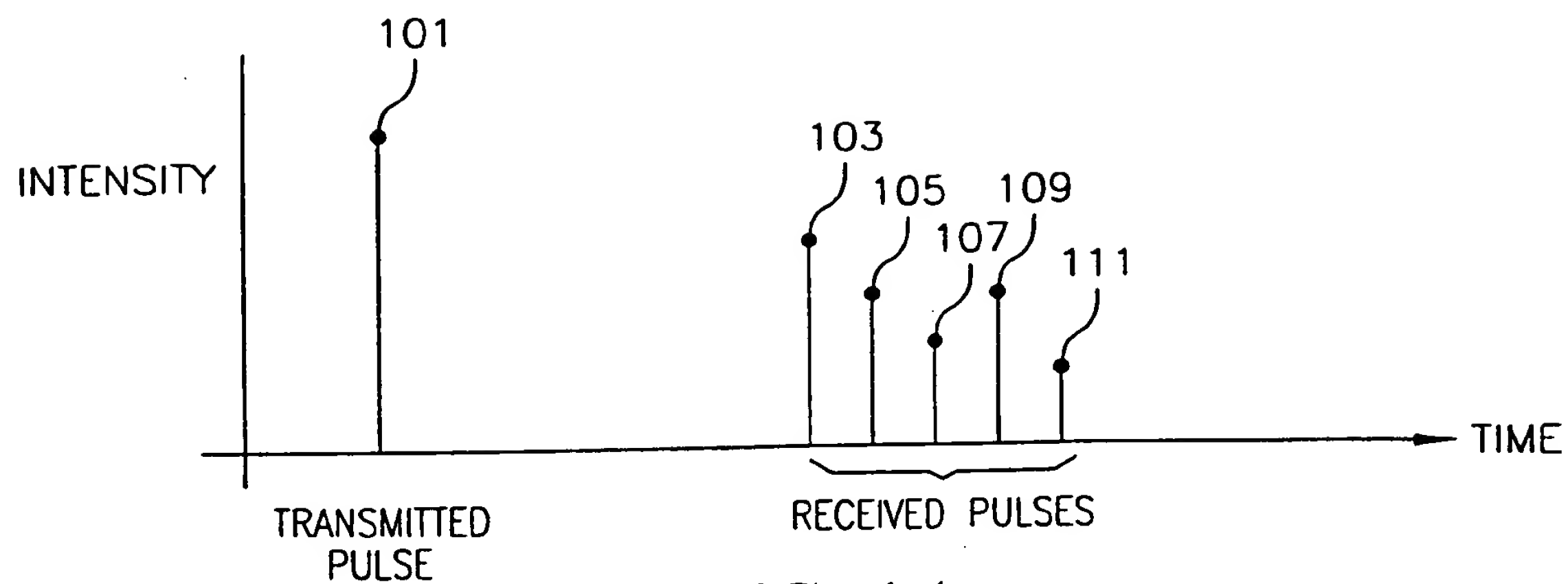
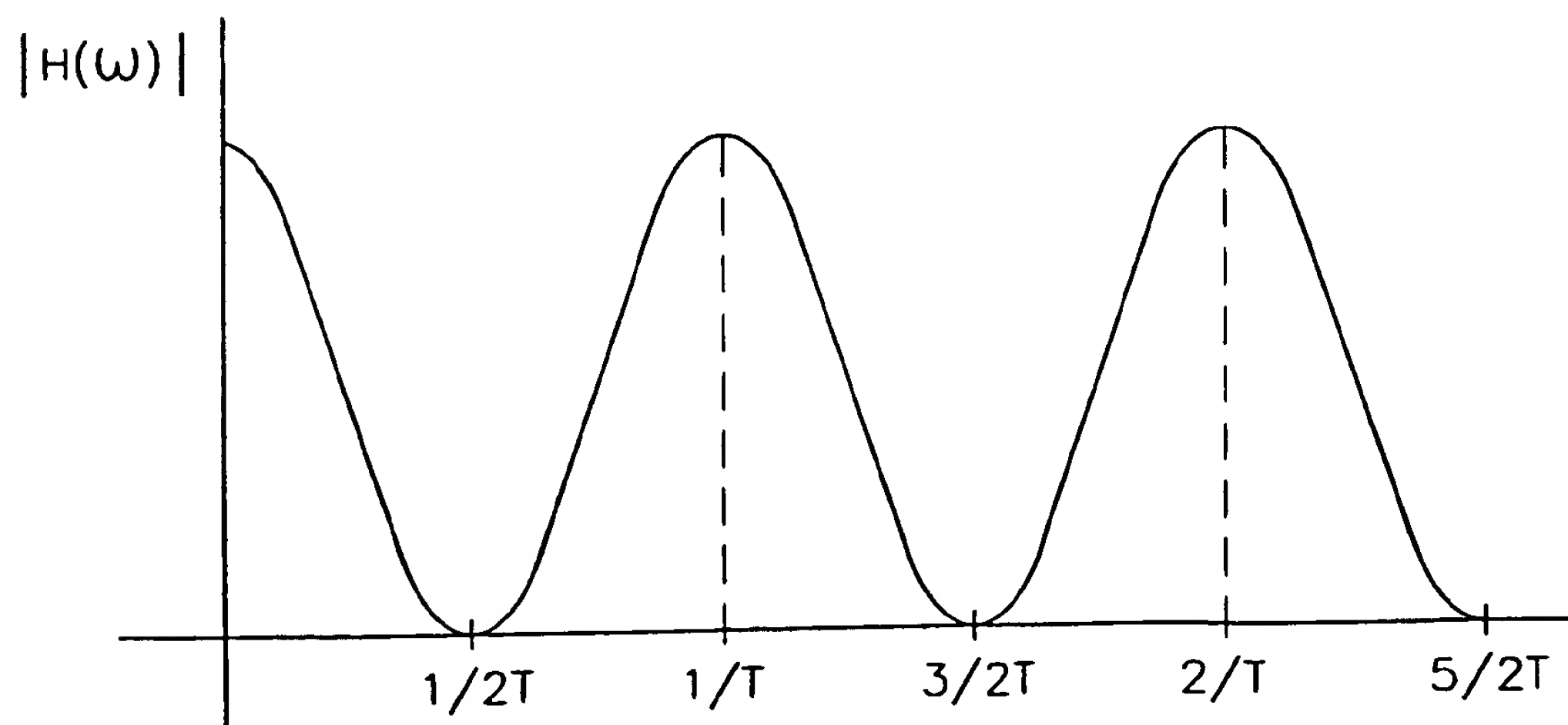
25. An apparatus for receiving data on a fiber channel the apparatus comprising:  
an input that accepts an interleaved signal comprising a plurality of discrete successive  
35 values;  
a plurality of sample and hold circuits that accept the interleaved signal and extract a  
sample comprising a discrete value;

1 a plurality of analog to digital converters that convert the discrete values from the plurality of sample and hold circuits to a plurality of digital values;

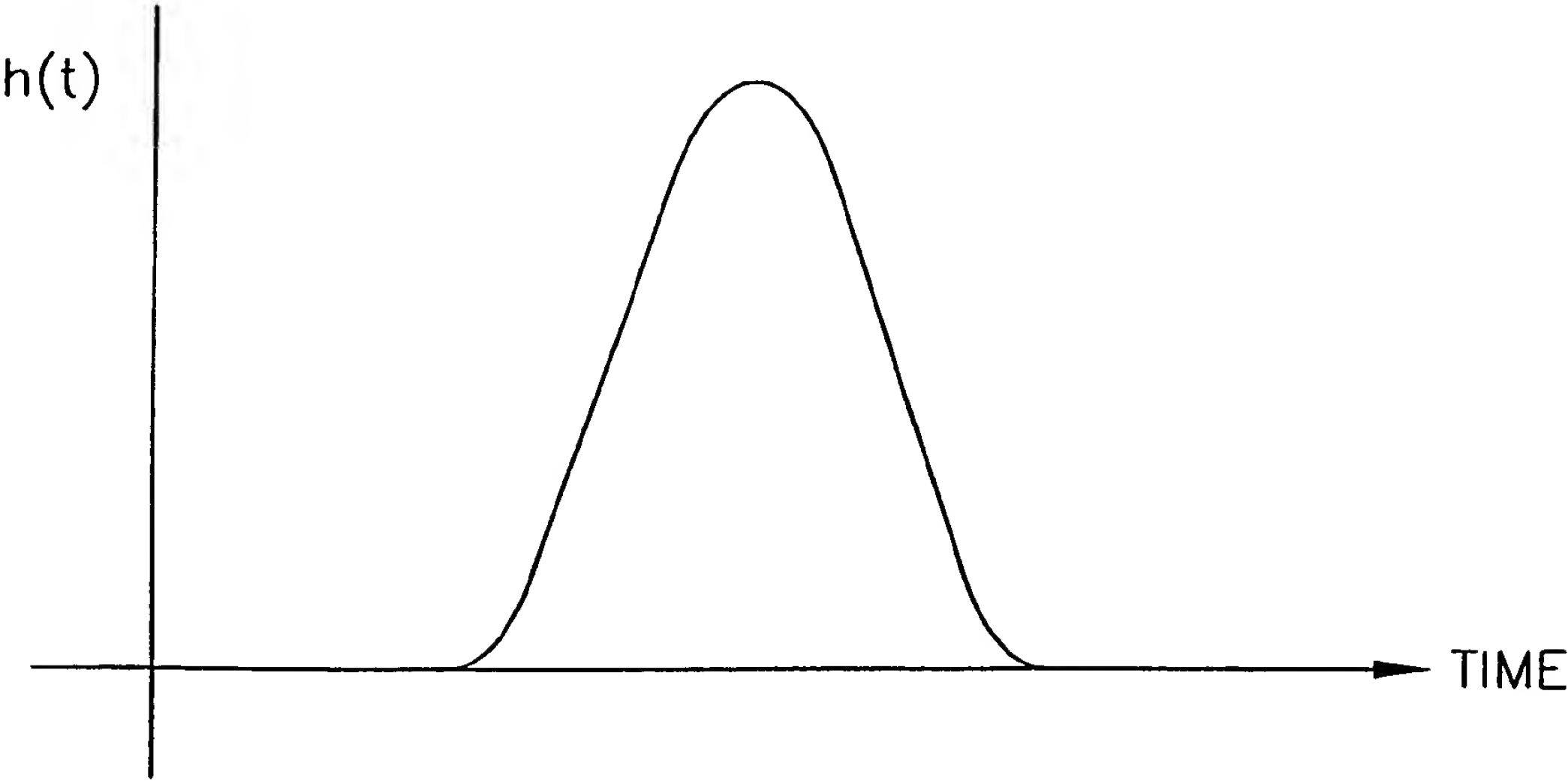
5 a 32 Inverse Fourier Transform circuit that accepts said plurality of values from the plurality of analog to digital converters and converts said values into symbols (S) and complex conjugates of the symbols (S\*);

10 A plurality of trellis decoders that accept said symbols (S) and said complex conjugates of the symbols (S\*) and produced an uncoded output; and

an interface which accepts the outputs of said plurality of trellis decoders and combines them into a digital signal.

**FIG. 1A****FIG. 1B**





*FIG. 1C*

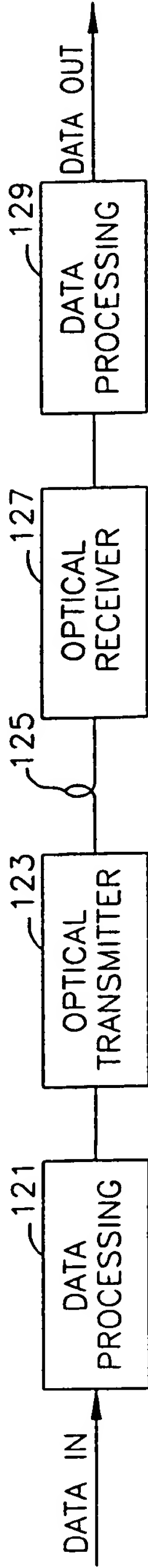


FIG. 1D

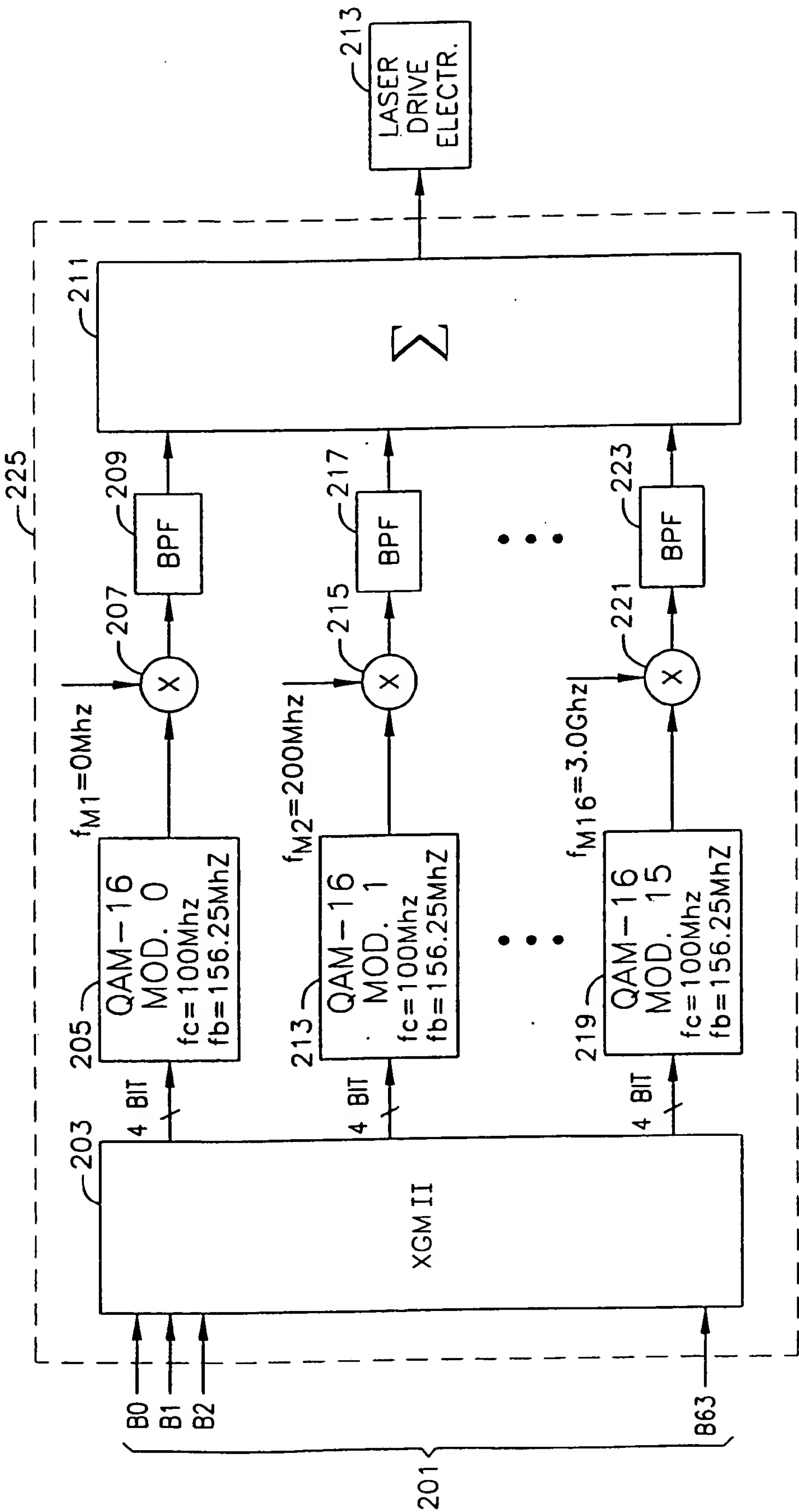
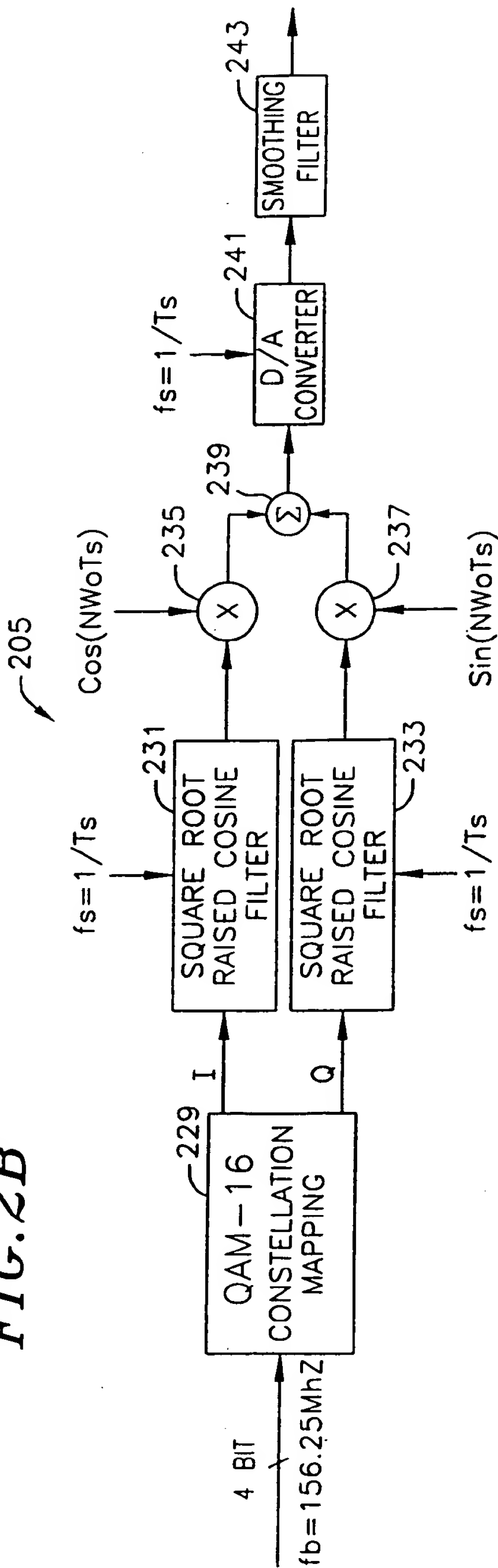
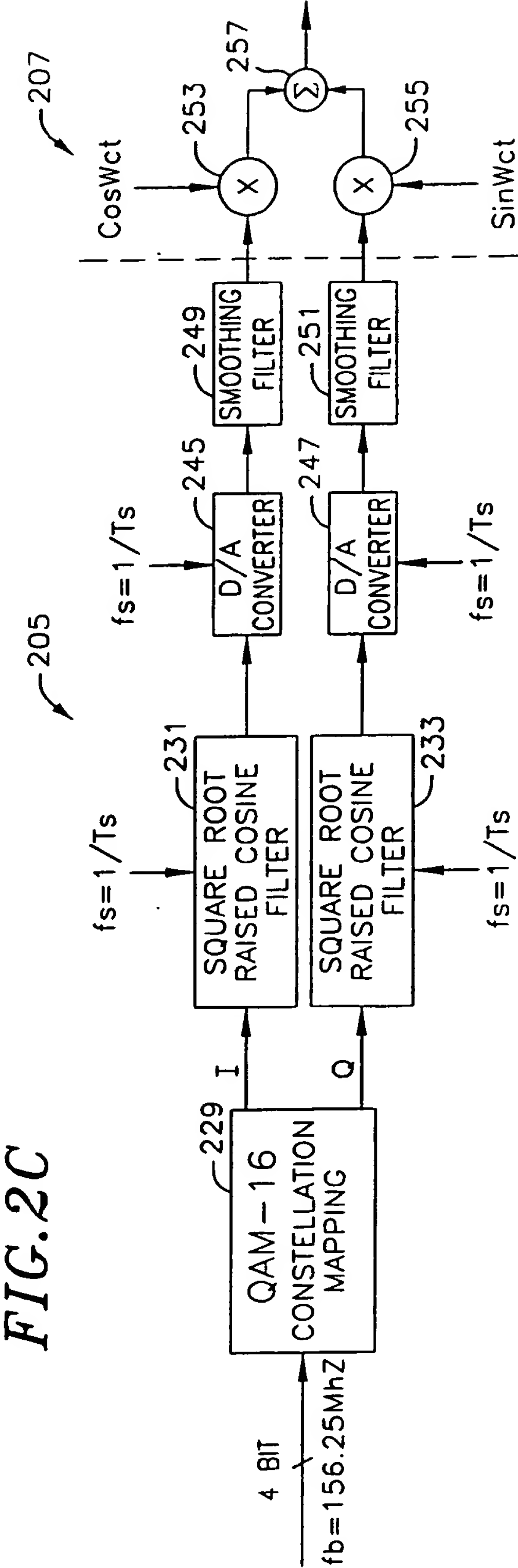


FIG. 2A

FIG. 2B



$T_s$  = SAMPLING PERIOD  
 $\omega_n = 2\pi f_s$  = DIGITAL CARRIER ANGULAR FREQUENCIES



$T_s$  = SAMPLING PERIOD FOR DSP BLOCKS  
 $W_n = 2\pi f_s$  = DIGITAL CARRIER ANGULAR FREQUENCIES

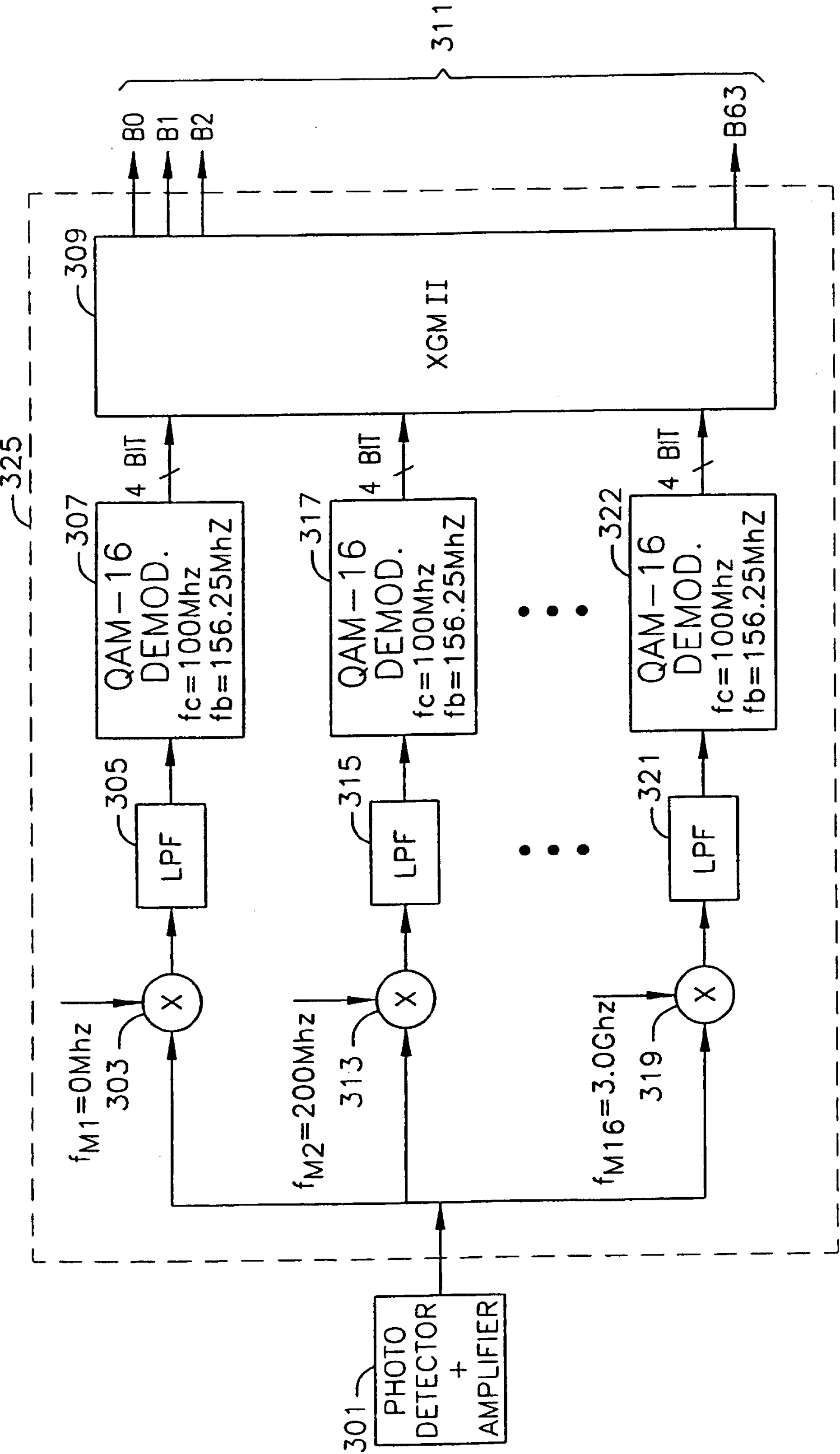


FIG. 3



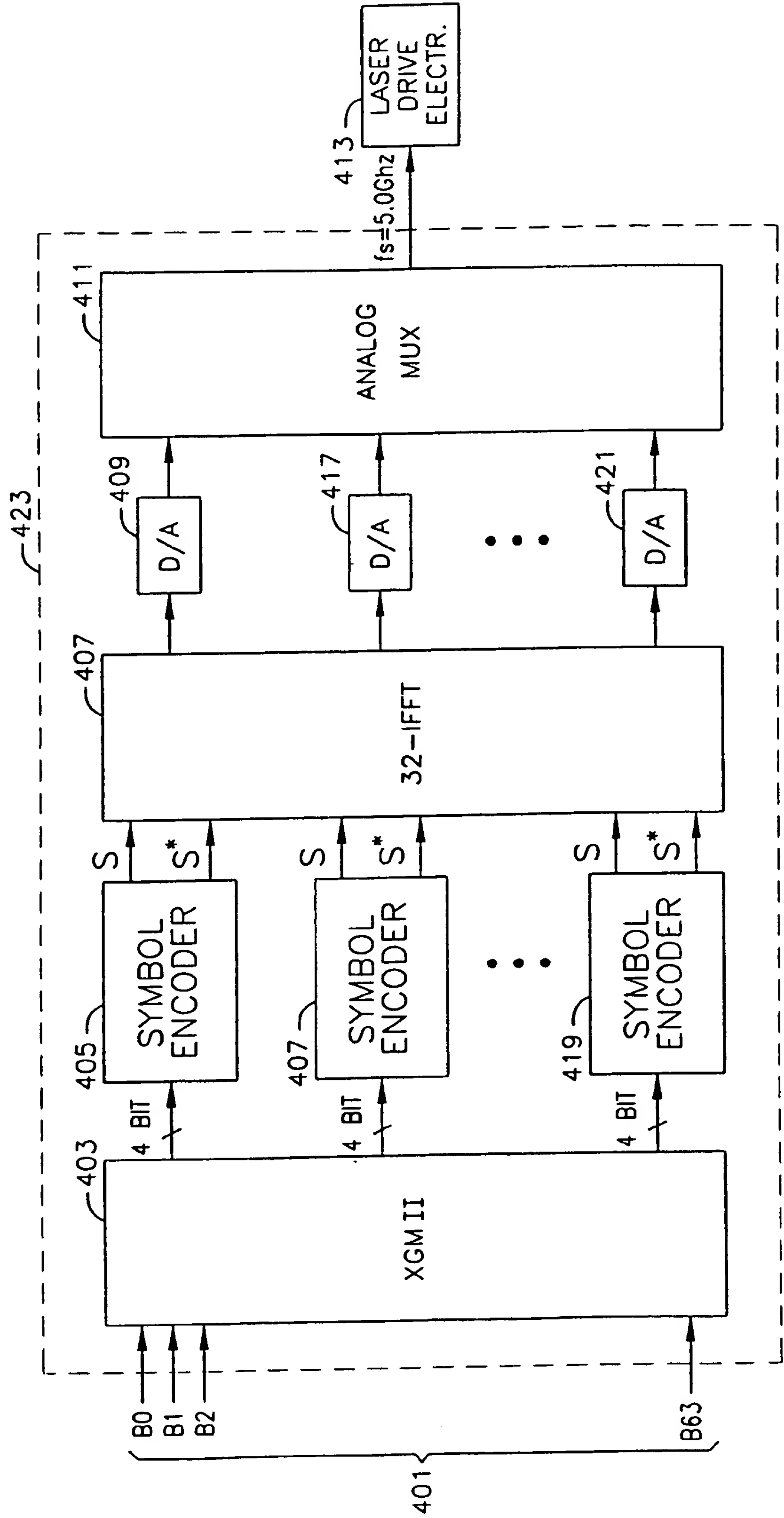


FIG. 4

9/18

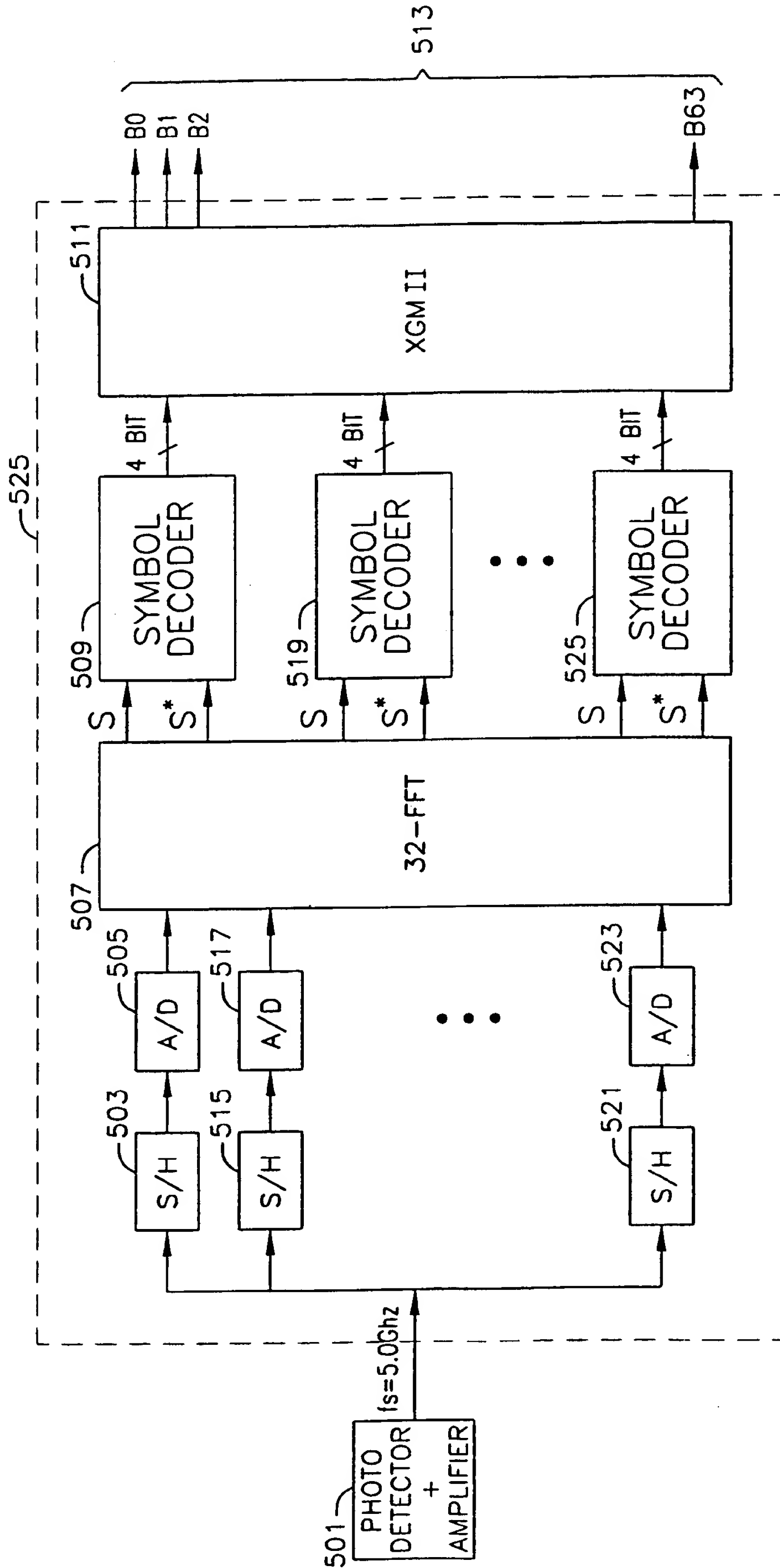


FIG. 5

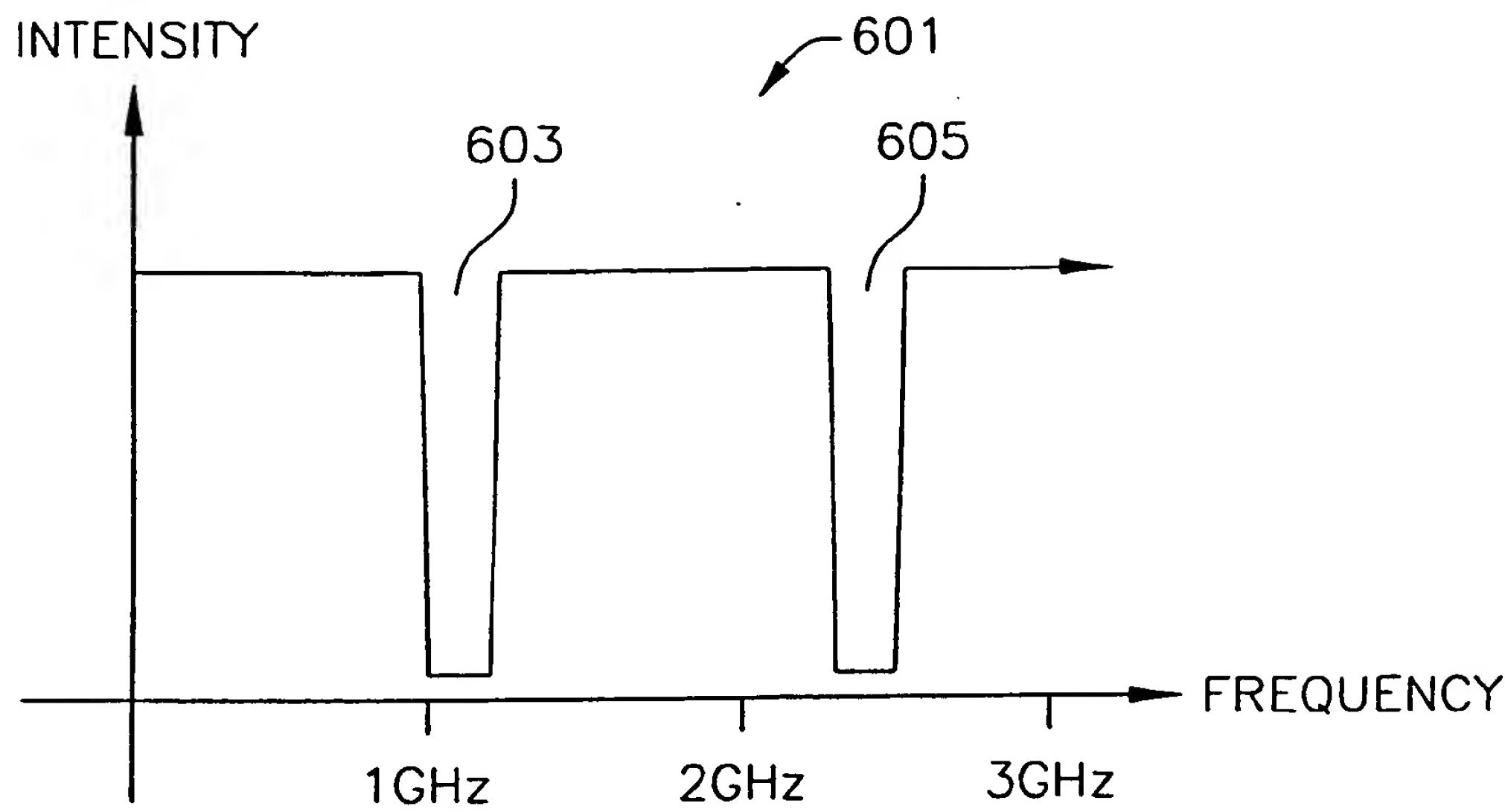


FIG. 6

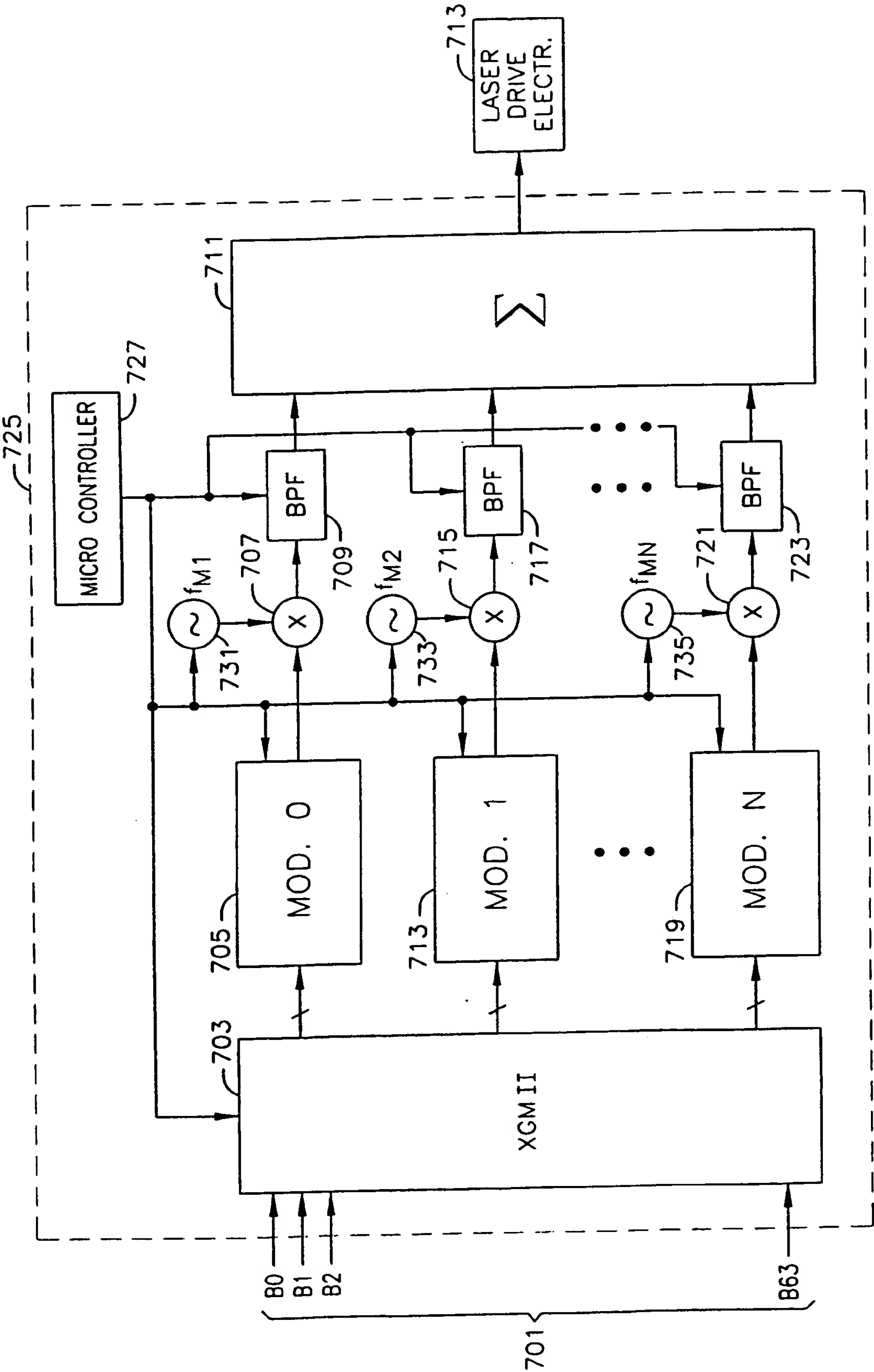
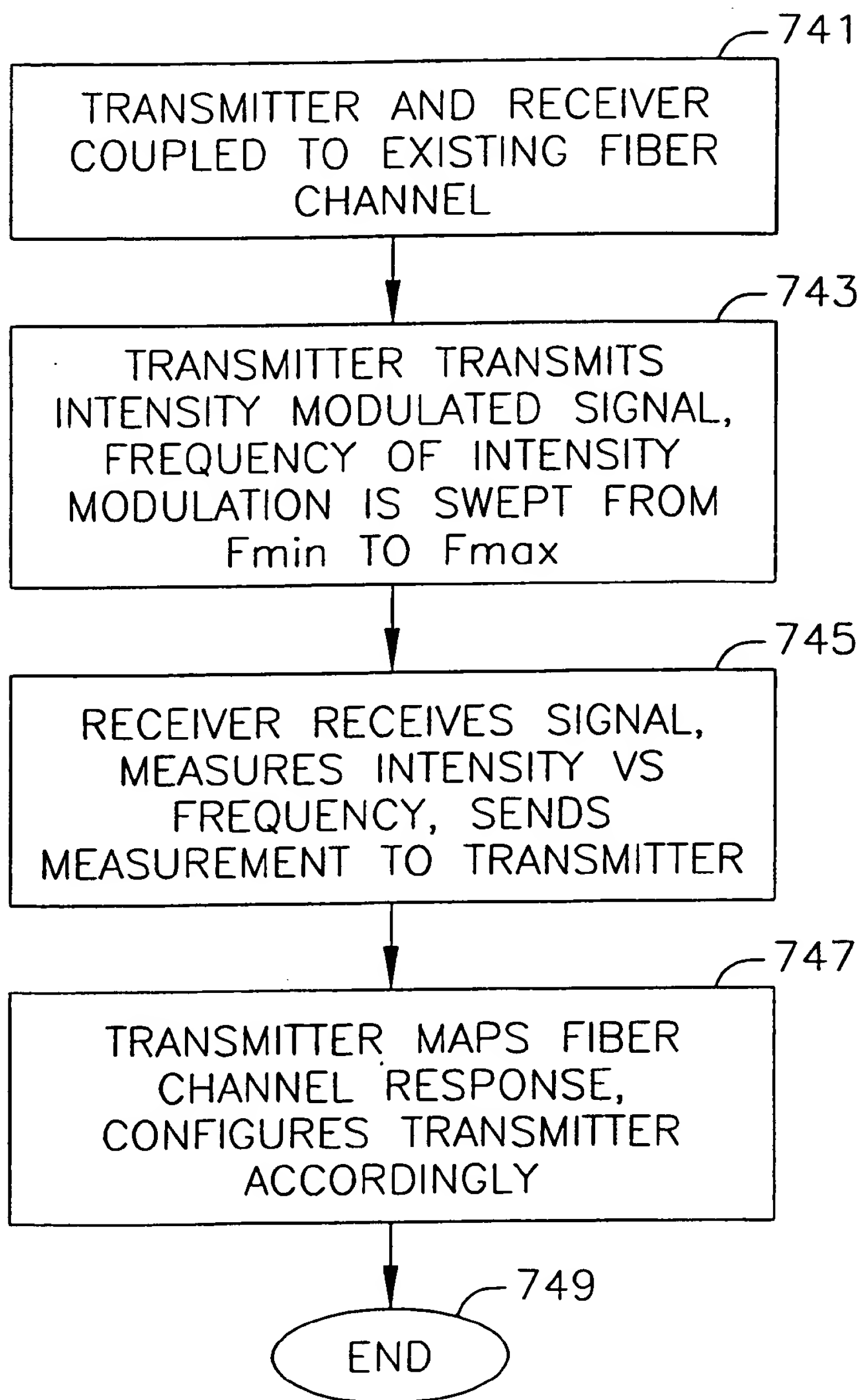


FIG. 7A

*FIG. 7B*

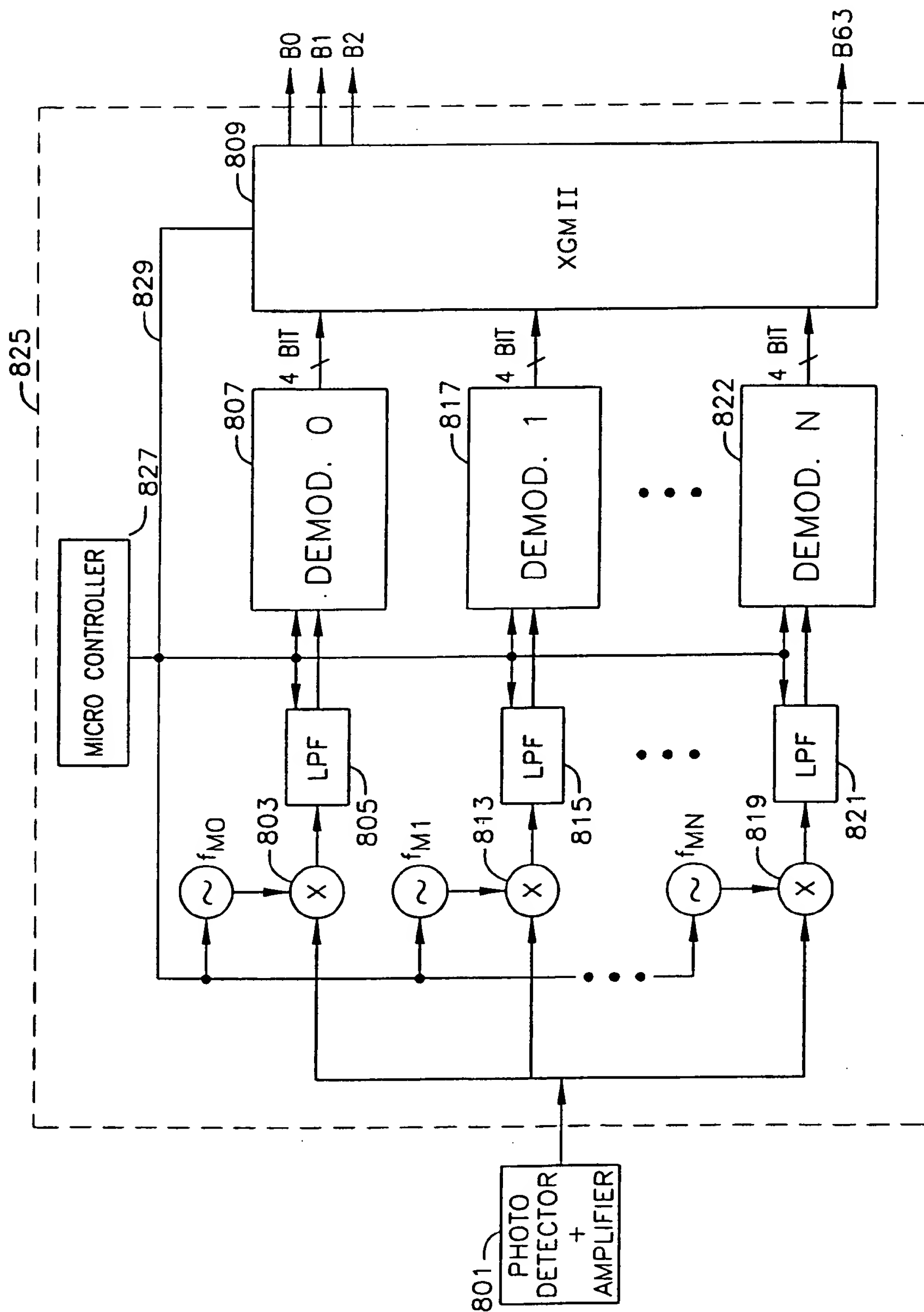
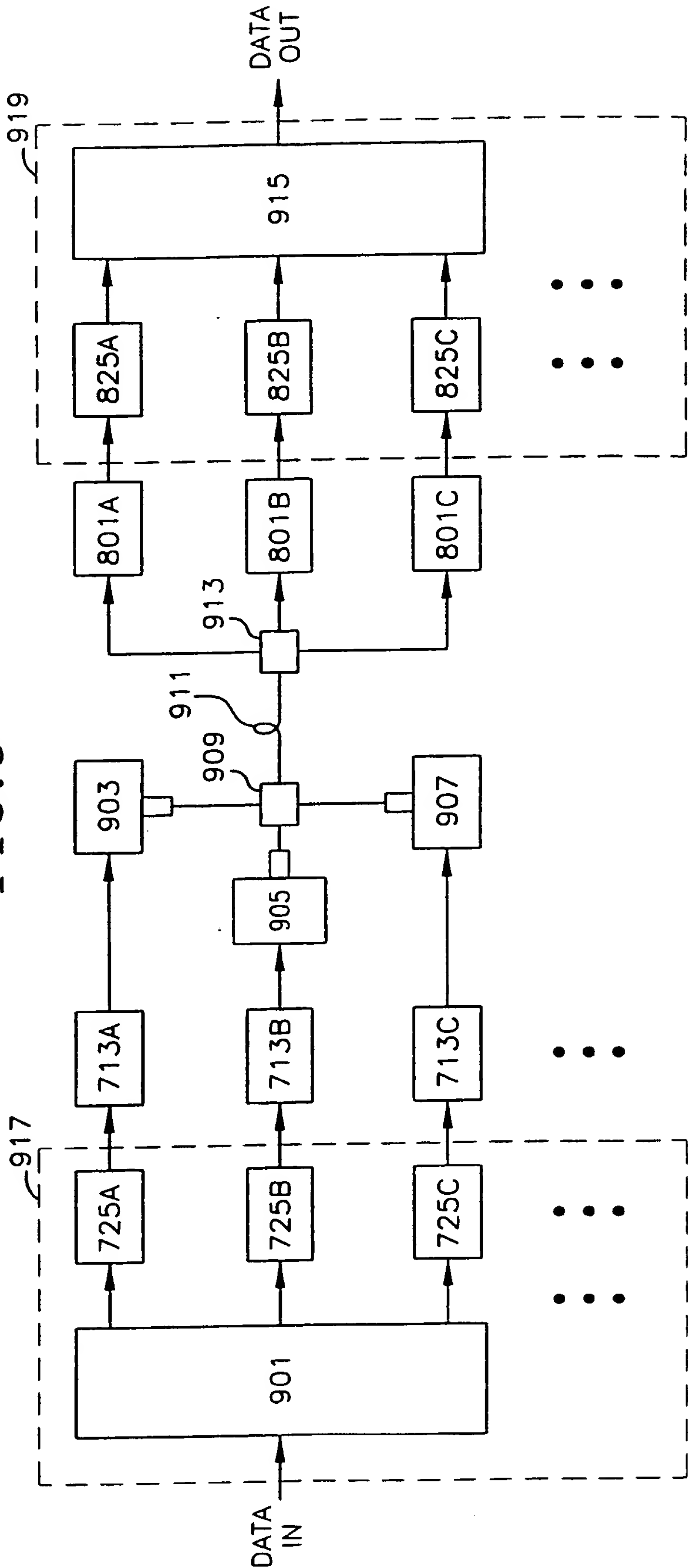


FIG. 8



FIG. 9



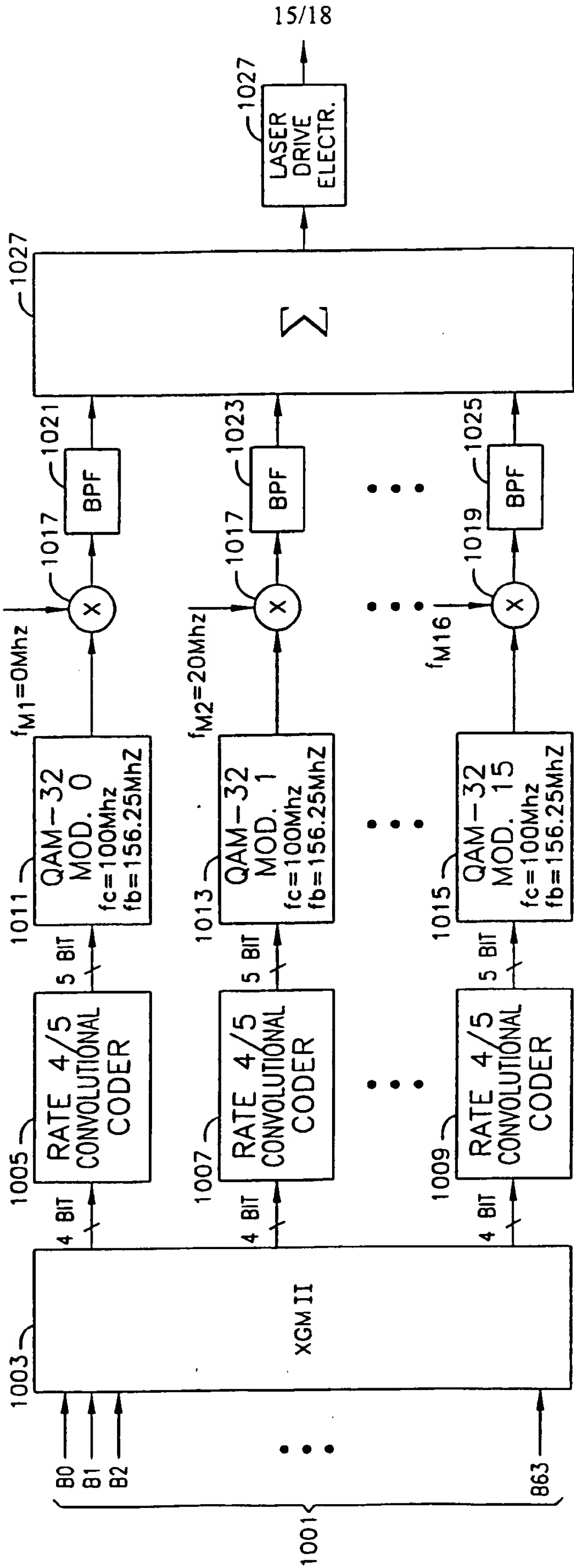


FIG. 10

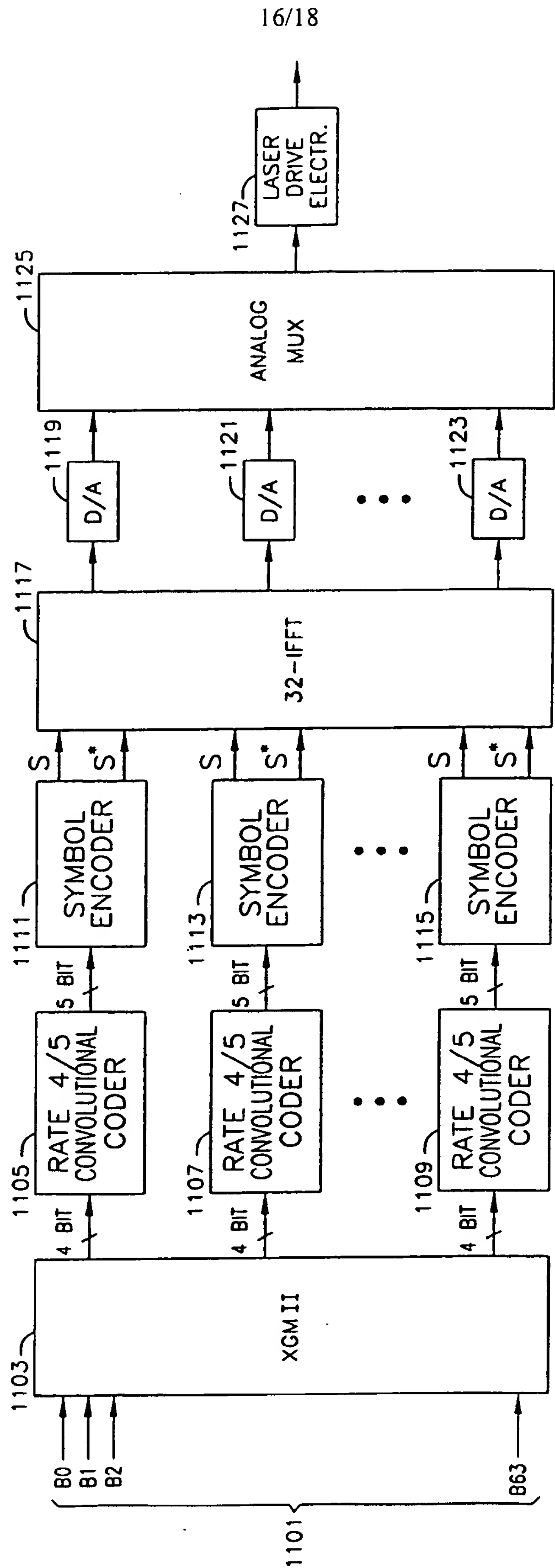


FIG. 11

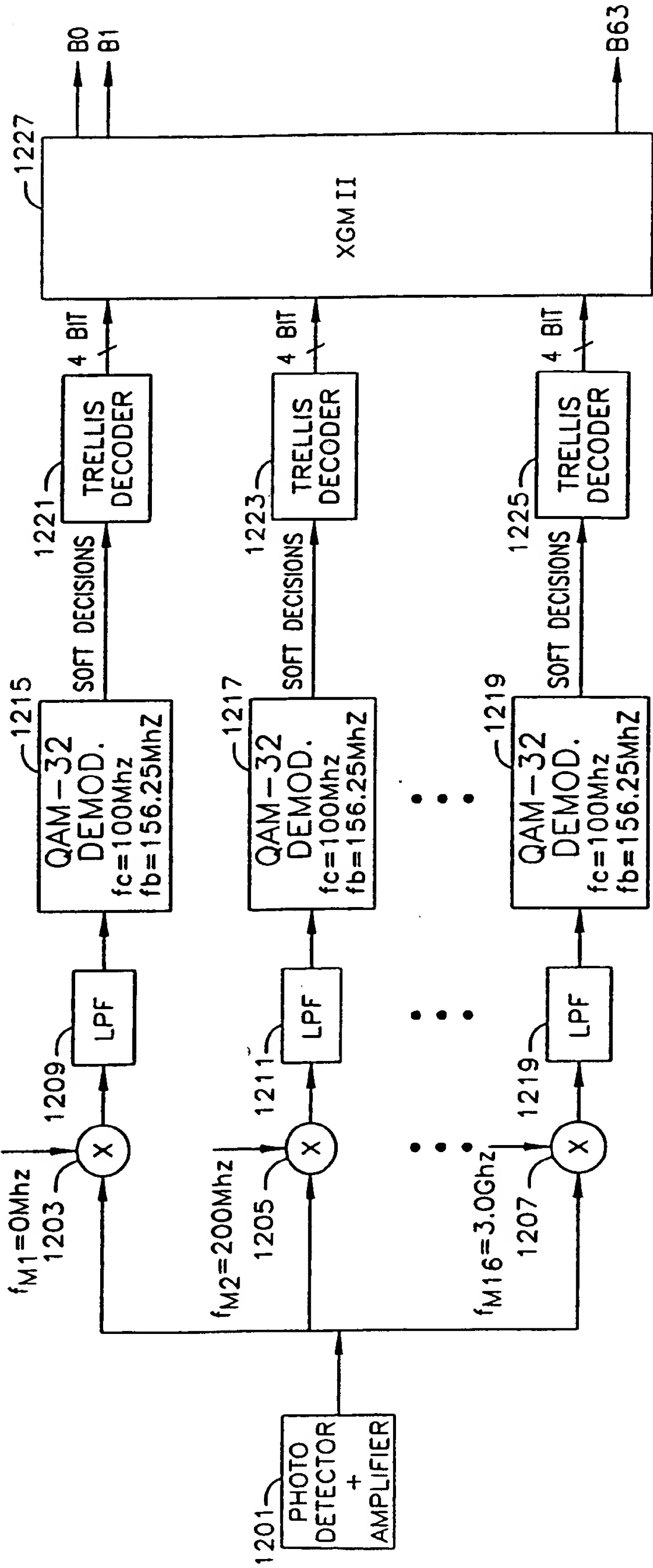


FIG. 12

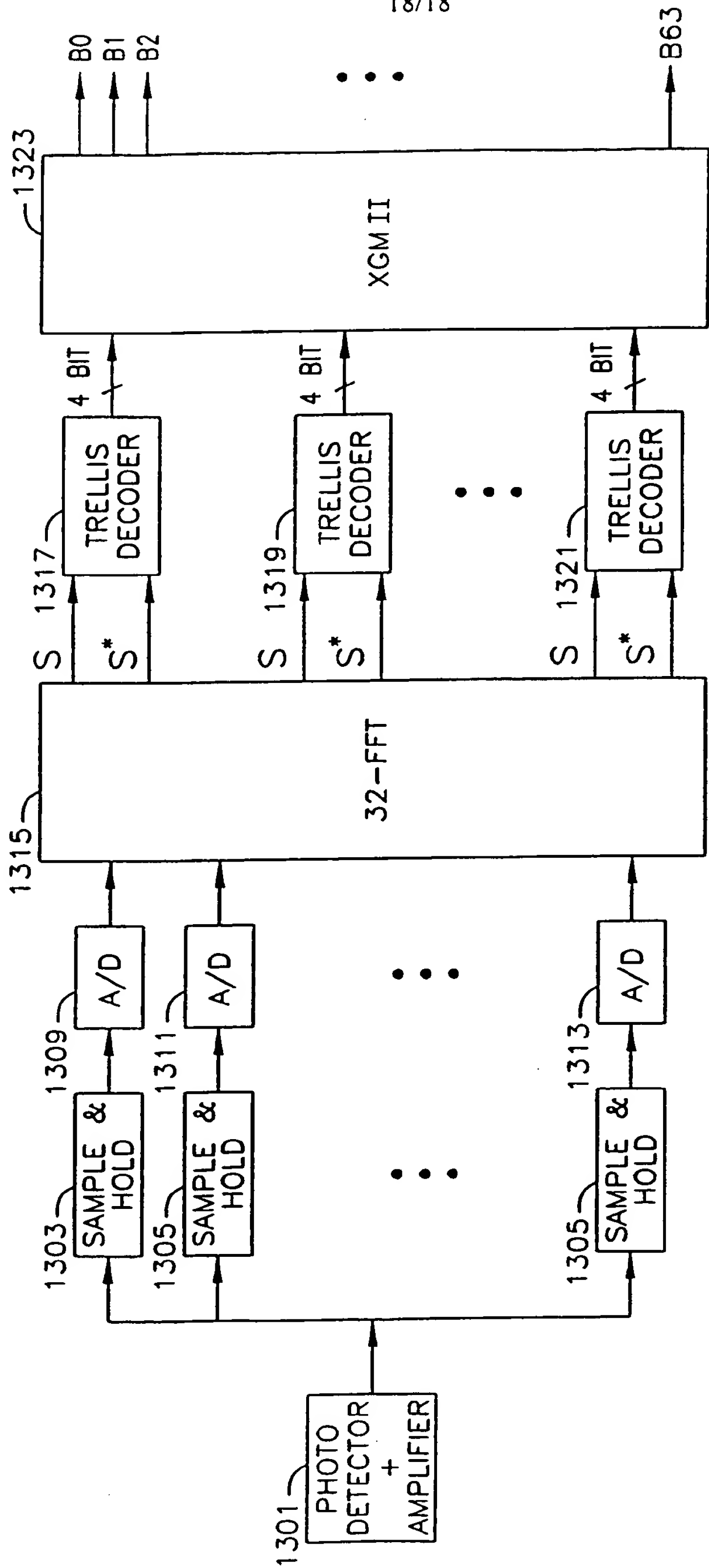


FIG. 13

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International Bureau



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26 April 2001 (26.04.2001)

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(10) International Publication Number  
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60/160,501 20 October 1999 (20.10.1999) US

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(72) Inventor; and

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(74) Agents: **WINSLADE, Christopher, C.** et al.; McAndrews Held & Malloy, Ltd., Suite 3400, 500 W. Madison Street, Chicago, IL 60661 (US).

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.

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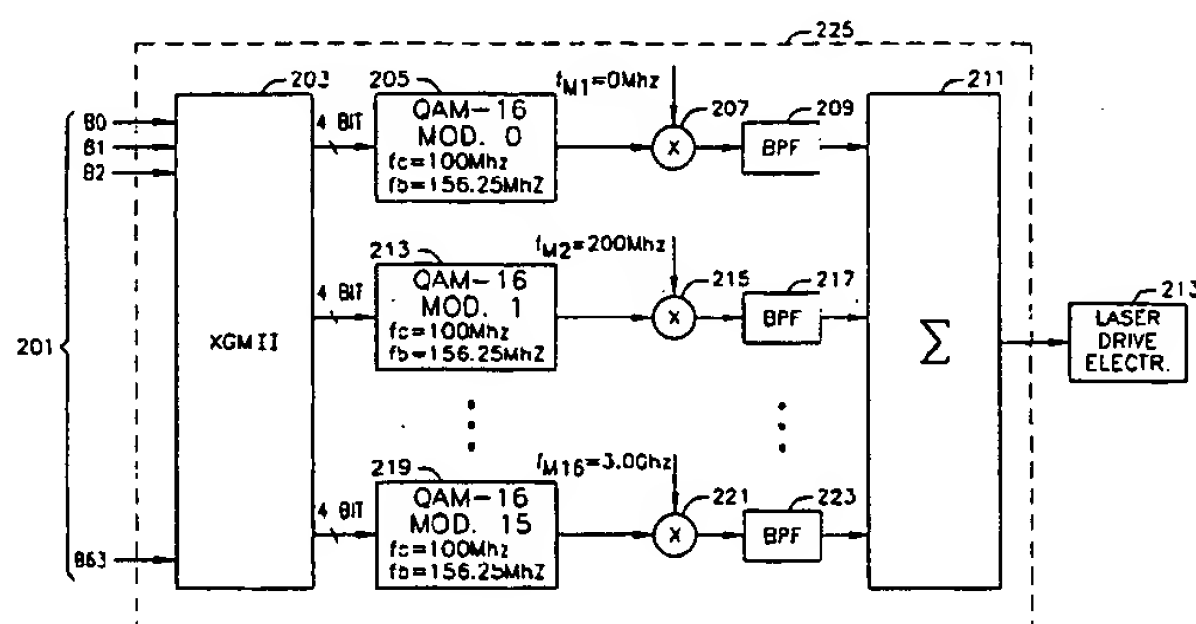
Published:

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For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: METHOD, APPARATUS AND SYSTEM FOR HIGH-SPEED TRANSMISSION ON FIBER OPTIC CHANNEL



WO 01/29991 A3

(57) Abstract: Multi-carrier modulation fiber optic systems constructed using a series of electrical carriers, modulating the data (201) on the electrical carriers (207, 215, 221) and combining the carriers to form a wideband signal (211). The wideband signal can then be intensity modulated on a laser and coupled to a fiber optic channel (213). A receiver may then receive the laser signal from the fiber optic channel and convert it into an electrical signal (301, 325). Multi-carrier modulation may be applied to existing fiber channels, which may be of lower quality. Existing fiber channels may have characteristics which prevent or restrict the transmission of data using intensity modulation at certain frequencies (601). An adaptive multi-carrier modulation transmitter (725) may characterize an existing fiber optic channel and ascertain the overall characteristics of the channel. The transmitter and receiver (825) can then be configured to use various bandwidths and various modulations in order to match the transfer characteristic of the fiber channel (601). A series of adaptive multi-carrier modulation transmitters and receivers can be integrated on a single integrated circuit. If multiple adaptive receivers and transmitters are integrated on a single integrated circuit (917, 919), they may be used to upgrade existing networks by adding different wavelength lasers (903, 905, 907) for the transmission of data in order to achieve any capacity desired. Each receiver and transmitter may characterize the fiber for its particular wavelength laser and may configure the modulation and bandpass to the fiber's characteristics.



# INTERNATIONAL SEARCH REPORT

Int. l. Application No

PCT/US 00/29136

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 7 H04L27/26 H04L1/00

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 H04L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

WPI Data, PAJ, INSPEC, EPO-Internal

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

| Category * | Citation of document, with indication, where appropriate, of the relevant passages               | Relevant to claim No.         |
|------------|--|-------------------------------|
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| Y          | page 1, line 27 -page 2, line 7  | 4-6,11,<br>13,14,<br>18,22,23 |
| A          | page 9, line 16 - line 28<br>figures 1,2,7   | 7,12,16                       |
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| A          | column 17, line 43 -column 19, line 8<br>column 19, line 35 -column 20, line 28<br>figures 11-14 | 6,7,22                        |
|            | ---  |                               |
|            | -/--   |                               |

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

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Date of the actual completion of the international search

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International Application No

PCT/US 00/29136

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

| Category *          | Citation of document, with indication, where appropriate, of the relevant passages   | Relevant to claim No.  |
|---------------------|--|--|
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|                     | ---<br>-/--  |  |

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 00/29136

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

| Category * | Citation of document, with indication, where appropriate, of the relevant passages  | Relevant to claim No. |
|------------|---|-----------------------|
| X          | <p>WU A -Y ET AL: "A FAST ALGORITHM FOR REDUCED-COMPLEXITY PROGRAMMABLE DSP IMPLEMENTATION OF THE IFFT/FFT IN DMT SYSTEMS"</p> <p>IEEE GLOBAL TELECOMMUNICATIONS CONFERENCE, US, NEW YORK, NY: IEEE, 1998, pages 833-838, XP000825870</p> <p>ISBN: 0-7803-4985-7</p> <p>page 833, left-hand column, line 19 -page 834, left-hand column, line 23</p> <p>figure 1</p>                        | 24,25                 |
| Y          | <p>WO 99 00926 A (ERICSSON TELEFON AB L M)</p> <p>7 January 1999 (1999-01-07)</p> <p>page 4, line 13 -page 5, line 18</p> <p>figure 1</p>   | 24,25                 |
| Y          | <p>PATENT ABSTRACTS OF JAPAN</p> <p>vol. 1998, no. 09,</p> <p>31 July 1998 (1998-07-31)</p> <p>-&amp; JP 10 107758 A (TOYO COMMUN EQUIP CO LTD), 24 April 1998 (1998-04-24)</p> <p>abstract</p> <p>figure 1</p>   | 24,25                 |
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# INTERNATIONAL SEARCH REPORT

international application No.  
PCT/US 00/29136

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☐ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

see additional sheet

1. ☒ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☒ No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/SA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. Claims: 1-15 22-23

Wideband transmitters and receivers.

2. Claims: 16-21

Adaptation of a multicarrier modulator to the estimated channel response.

3. Claims: 24-25

Apparatuses for transmitting and receiving data with low bit error rate.

# INTERNATIONAL SEARCH REPORT

Information on patent family members

Int. l. Application No

PCT/US 00/29136

| Patent document<br>cited in search report |   | Publication<br>date | Patent family<br>member(s) | Publication<br>date |
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